



Critical points in the nuclear matter phase diagram: the universality class of nuclear and quark-gluon matter.

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OUTLINE

- ❑ General remarks on phase diagrams
- ❑ State variables and how to measure them
- ❑ The role of isospin
- ❑ First order transitions
- ❑ Continuous transitions in finite multi-component systems
- ❑ Event-by-event analysis of fluctuations
- ❑ The ISiS data set, scaling analysis, and determination of critical exponents
- ❑ What will CBM@FAIR / RHIC low energy scan be able to measure?



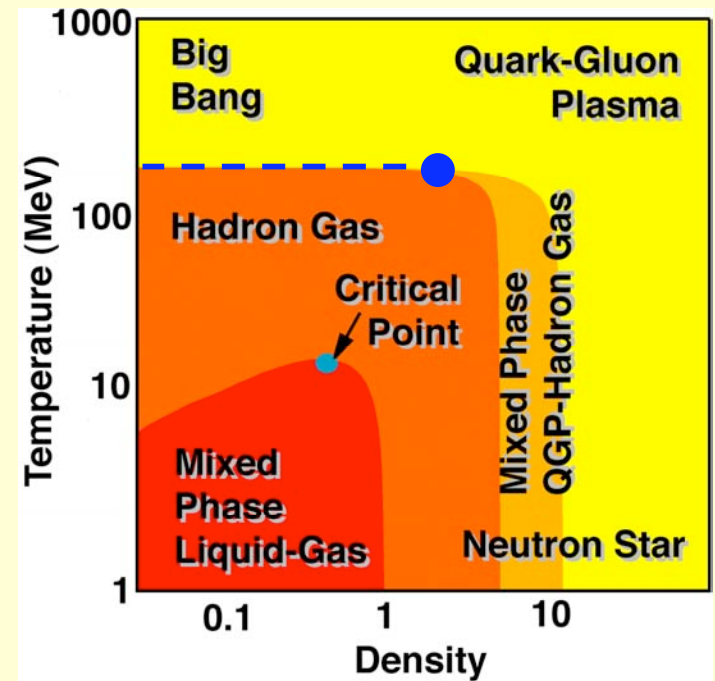
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Nuclear Matter Phase Diagram

- ❑ Two (at least) phase transitions in nuclear matter:
 - “Liquid Gas”
 - $\text{Hadron gas} \rightleftharpoons \text{QGP} / \text{chiral restoration}$
- ❑ Problems/
Opportunities:
 - *Finite size effects*
 - *Is there equilibrium?*
 - *Measurement of state variables (ρ , T , S , p , ...)*
 - *Migration of nuclear system through phase diagram*



Source: NUCLEAR SCIENCE, A Teacher's Guide to the Nuclear Science Wall Chart, Figure 9-2



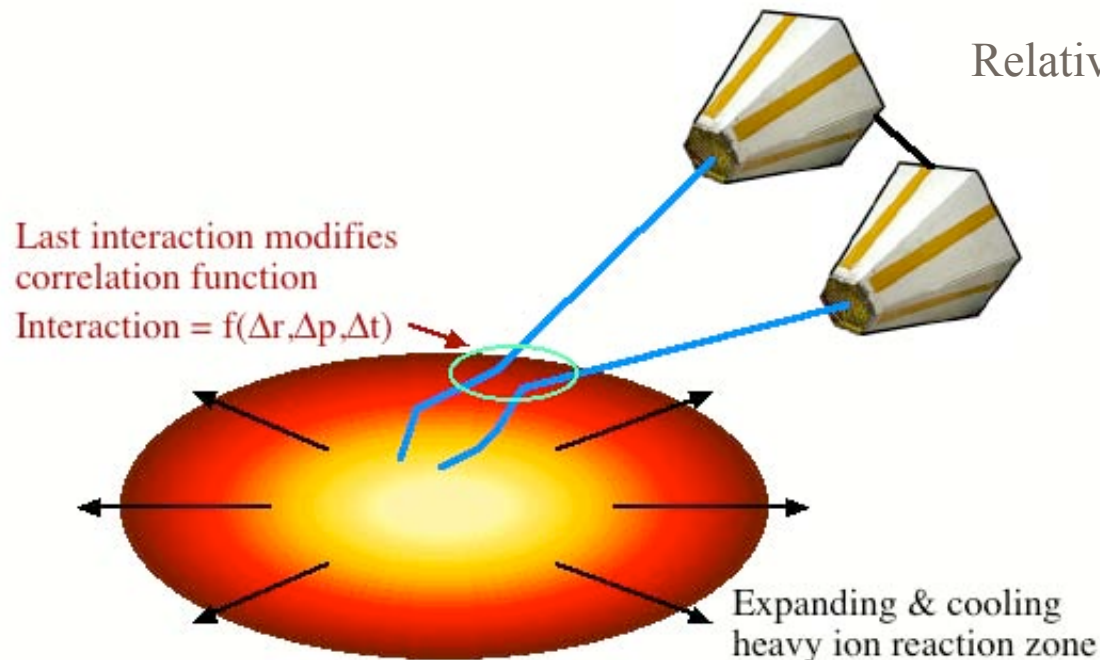
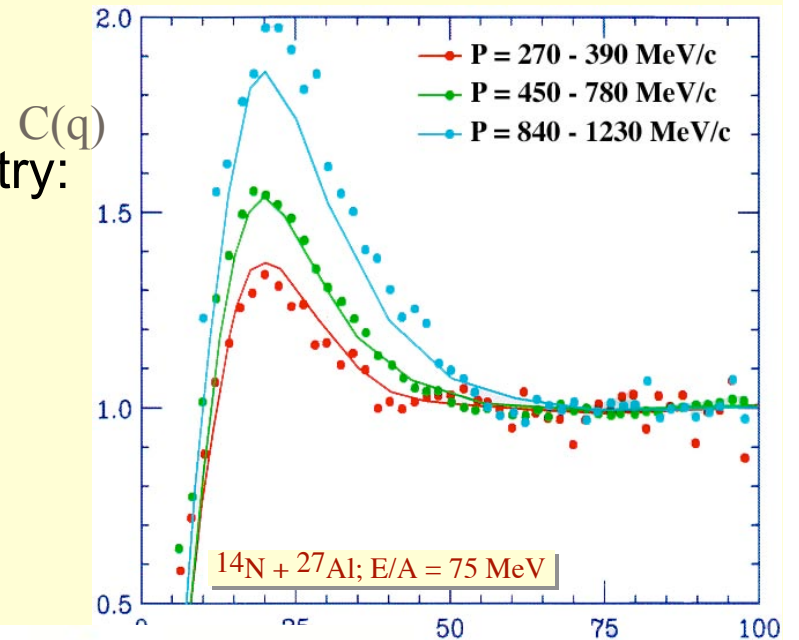
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Volume

- Information from interferometry:
- Two-particle correlation are sensitive to space-time extension of emitting source

$$C(P,q) = \int d^3x F_p(r) |\phi(r,q)|^2$$



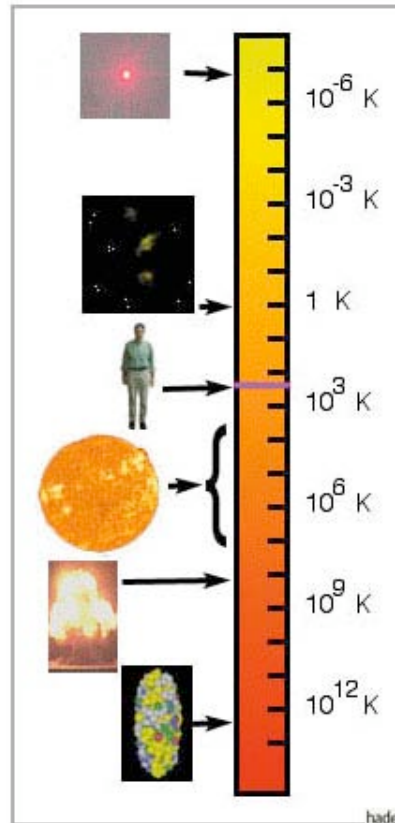
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Temperature

❑ Measure nuclear temperature indirectly via:

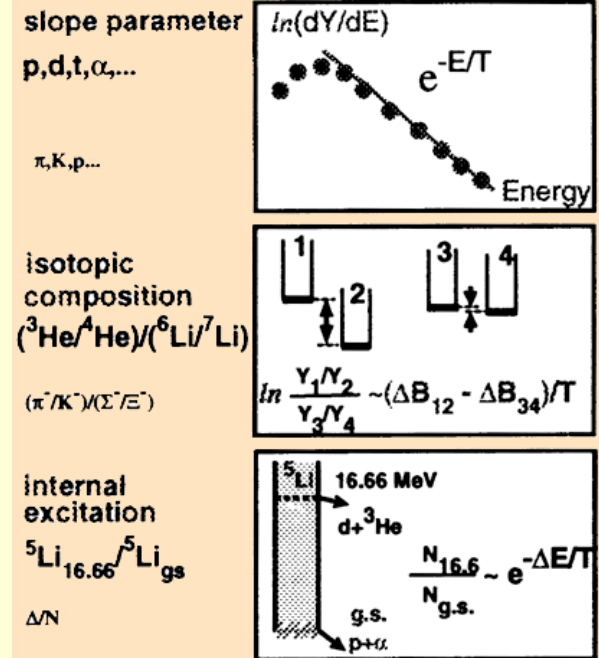


- ◆ Slopes of charged particle spectra
- ◆ Bound-state populations
- ◆ Unbound states
- ◆ Fragment isotopic yields

“He-Li” thermometer
Albergo et al., Nuovo C. A89

$$T = 13.3 \text{ MeV} / \ln \left(2.18 \frac{Y(^6\text{Li}) Y(^4\text{He})}{Y(^7\text{Li}) Y(^3\text{He})} \right)$$

J. Pochodzalla, CRIS '96



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Central question: At which **time** do we measure the temperature with each thermometer?



Temperature from Fragment Spectra

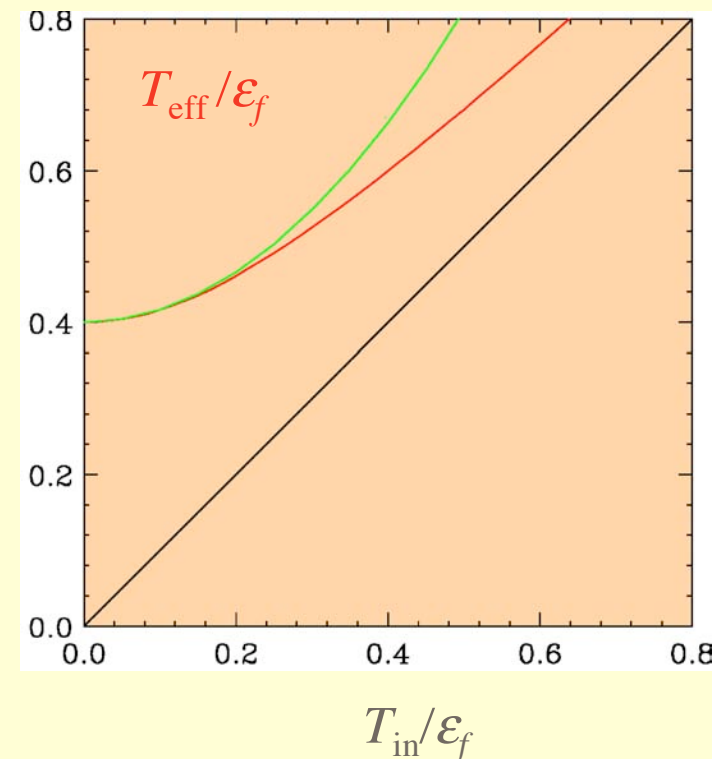
- ❑ Nucleon momentum distribution at temp. T :
$$\rho(p) = \frac{1}{1 + \exp[(p^2/2m - \mu)/T_{\text{in}}]}$$
- ❑ Fragment momentum = sum of momenta of nucleons in it
- ❑ Problem equivalent to solving Pearson random walk in momentum space
- ❑ Limiting distribution:
$$\rho(E_f) = 2 \sqrt{\frac{E_f}{\pi T_{\text{eff}}^3}} \exp\left(-\frac{E_f}{T_{\text{eff}}}\right)$$

(Boltzmann with $T_{\text{eff}} = \sigma^2/M_N$)

- ❑ Fragment slope “temperature”, T_{eff} , is not equal to T , but is a monotonous function of it
 $\Rightarrow$ **Nuclear Thermometer**
- ❑ Approximation:

$$T_{\text{eff}} \approx (2/5)\epsilon_f (1 + 5\pi^2 T^2/\epsilon_f^2 + \dots)$$

WB, Phys. Rev. C **51**

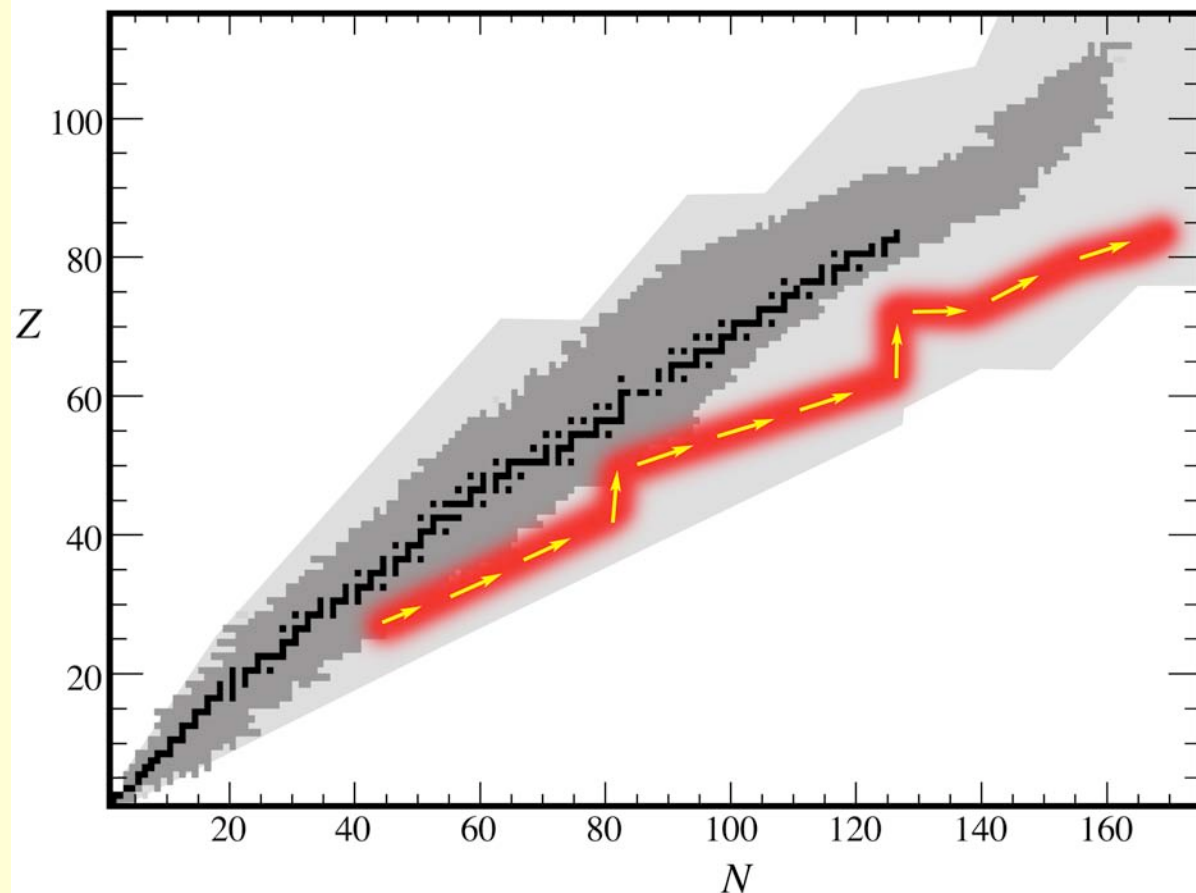


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Isospin: RIB Reaction Physics

- ❑ Exploration of the drip lines below charge ~ 40 via projectile fragmentation reactions
- ❑ Determination of the isospin degree of freedom in the nuclear equation of state
- ❑ Astrophysical relevance
- ❑ Review: [Li, Ko, WB, Int. J. Mod. Phys. E 7](#)



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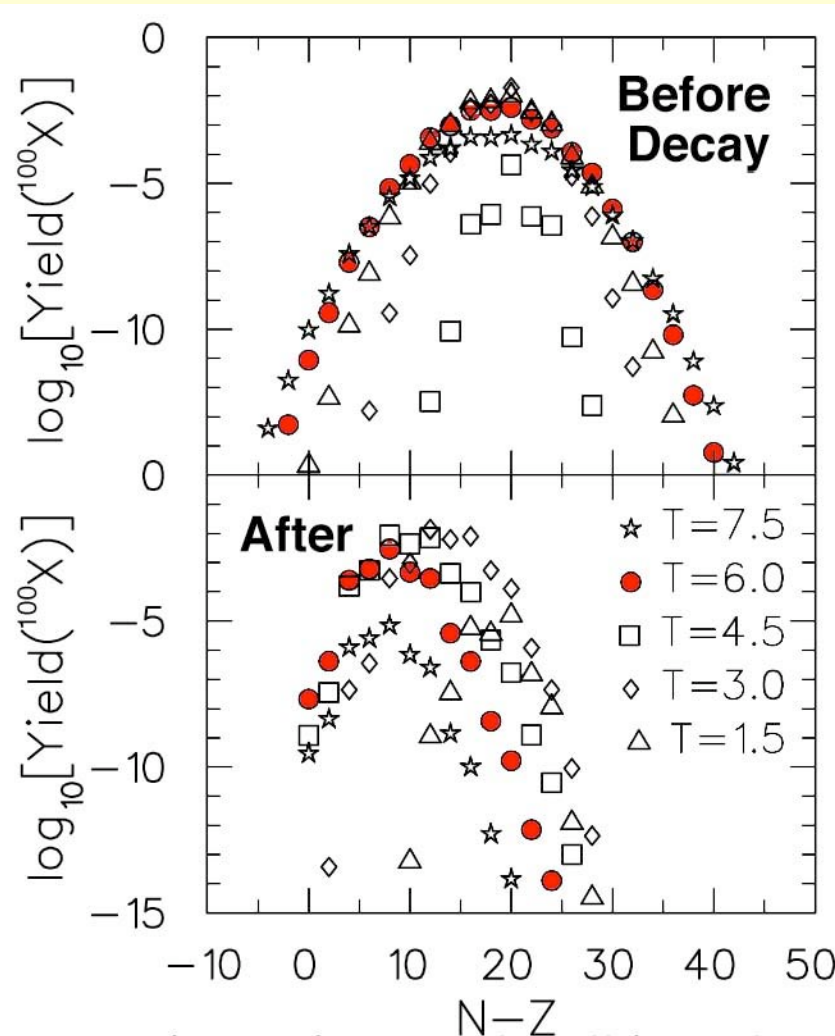
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Width of Isotope Distribution, Sequential Decays

- Predictions for width of isotope distribution are sensitive to isospin term in nuclear EoS
- Complication: Sequential decay almost totally dominates experimentally observable fragment yields

Pratt, WB, Morling,
Underhill, PRC 63



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First-Order Phase Transition

- ❑ Coexistence of two phases (e.g. ice+water, water+steam)

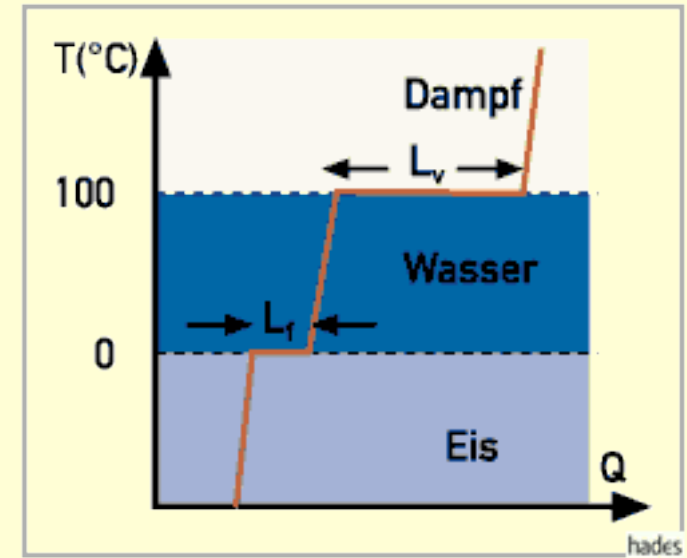
- ❑ Addition of heat does not change temperature

Latent heat

(H₂O: $L_f = 80 \text{ kcal/kg}$,
 $L_v = 540 \text{ kcal/kg}$)

- ❑ Different *specific heat capacities* in the different phases -> different slopes T vs. Q

- ❑ *Pressure kept constant!*



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Bauer
Benenson
Westfall

cliXX
Physik

Verlag Harri Deutsch,
Frankfurt 1999

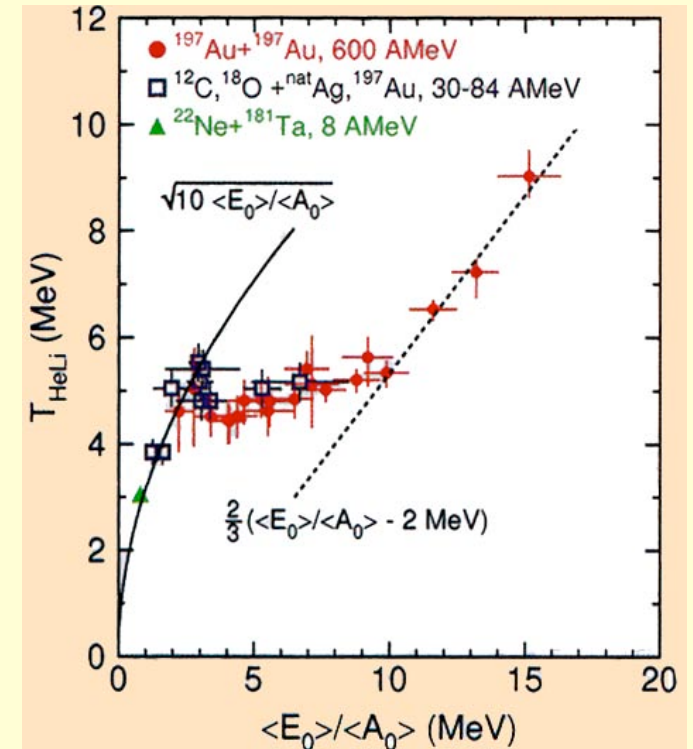


Observation of First-Order Phase Transition?

- ❑ Low E^* : Liquid-like
 $T \sim E^{*1/2}$
- ❑ High E^* : Gas-like
 $T \sim E^*$
- ❑ 1st order transition:
Liquid-gas coexistence
Temperature does not
change in phase mixture
while liquid is converted
to vapor.
- ❑ Analogy: Boiling of
water



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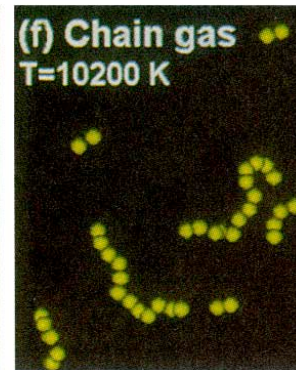
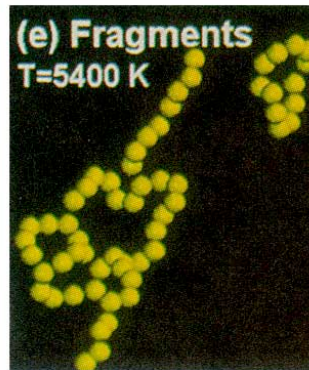
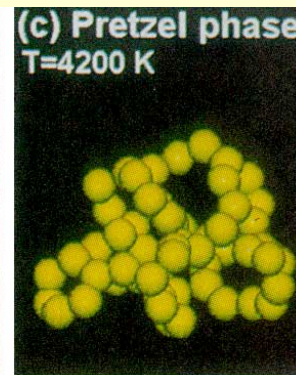
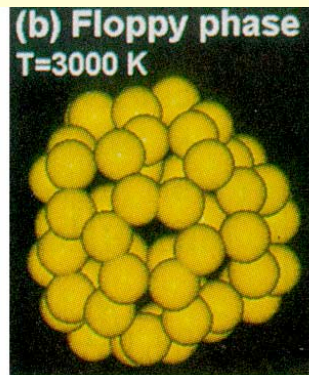
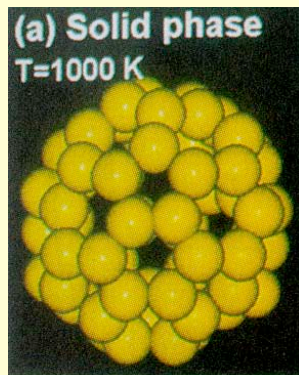
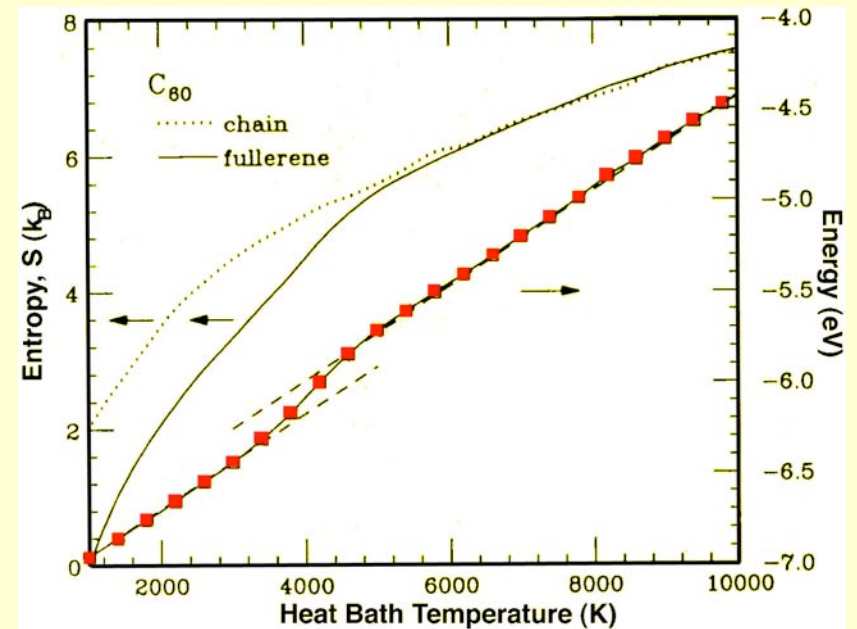


J. Pochodzalla et al. (ALADIN),
PRL 75



Buckyballs-Melting

- ❑ C_{60} Cluster
- ❑ Soccer Ball Geometry



- ❑ Molecular dynamics calculations
- ❑ Hoover-Nose heat bath

S.G. Kim & D. Tomanek,
PRL 72



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Continuous Phase Transition

- ❑ Near critical point, we expect scaling behavior: all physical quantities have power-law dependencies on the control parameter
- ❑ No characteristic scales in observables
- ❑ Critical exponents of power-laws are main quantities of interest
 - τ , Cluster size: $n_s(T_c) \sim s^{-\tau}$
 - β , Order parameter: $P \sim (T-T_c)^\beta$
 - γ , Divergence of s : $\sim |T-T_c|^{-\gamma}$
- ❑ Hyper-scaling assumption

$$2 - \alpha = (\tau - 1) / \sigma = 2\beta + \gamma$$



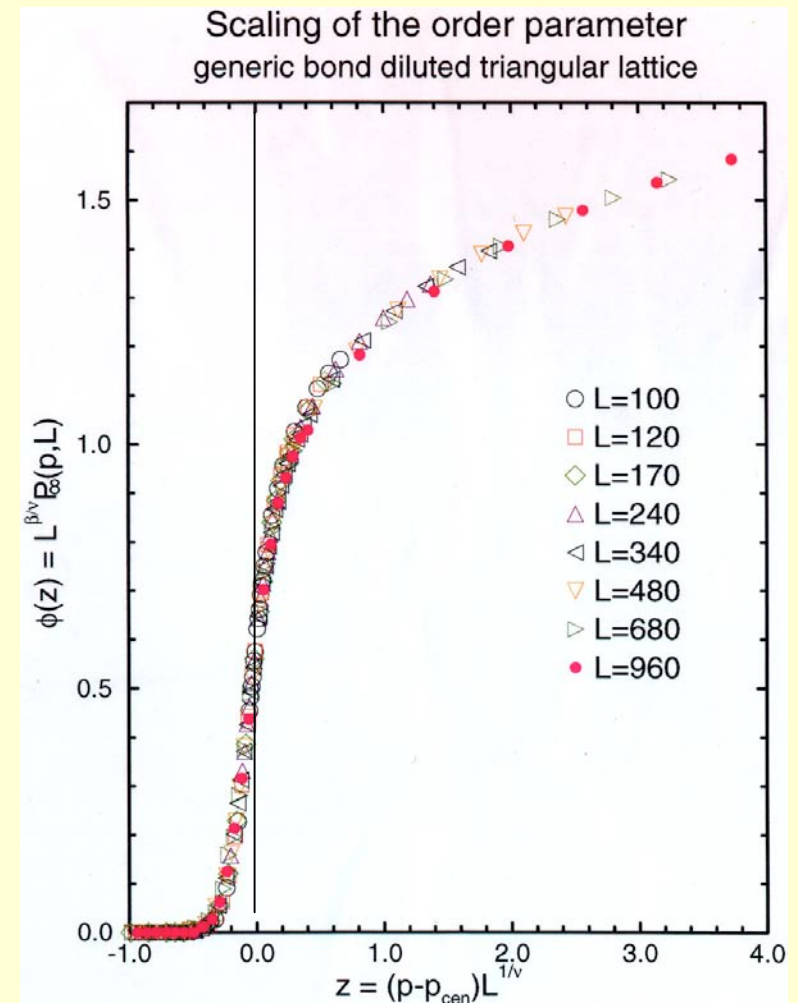
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Finite Size Scaling

M. Thorpe, MSU

- ❑ Phase transitions strictly only defined for (almost) infinite systems
- ❑ Lattice calculations work on finite lattices and extrapolate to infinite lattices
- ❑ Finite size scaling exponent, ν
 - *Modify control parameter by $L^{1/\nu}$*
 - *Modify order parameter by $L^{\beta/\nu}$*
- ❑ Opportunity for nuclear physics: Learn about extreme finite size scaling in real systems



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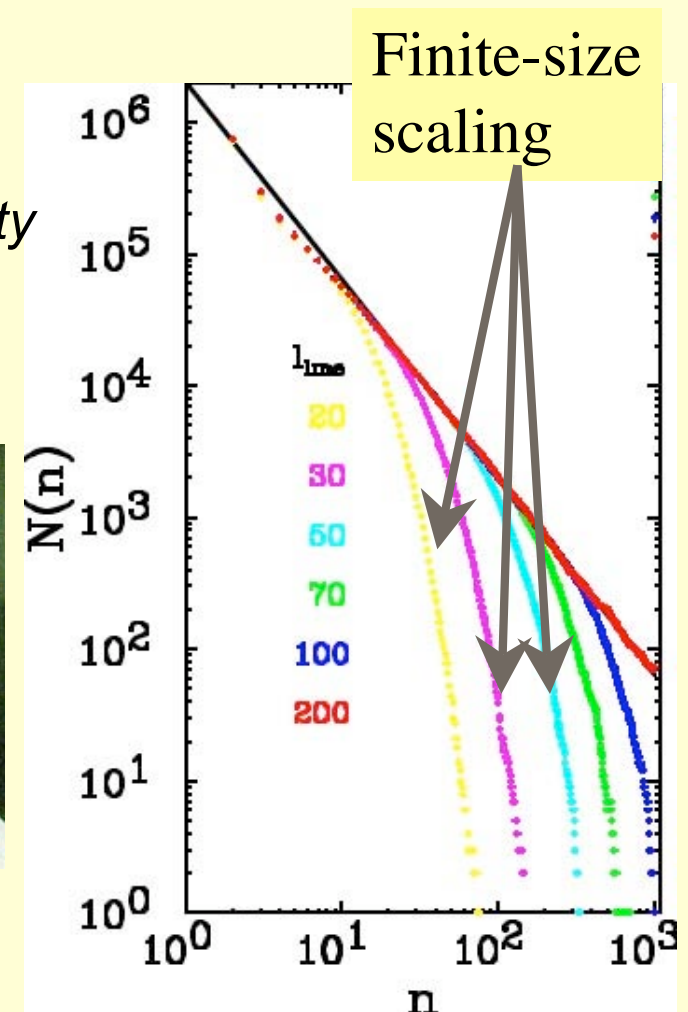
Self-Organized Criticality

WB & S. Pratt
PRE 54

- ❑ How to achieve scale-invariance?
 - Vicinity of critical point: power-laws
 - Very careful tuning of control parameter(s) required
- ❑ Another possibility: **SOC**
 - Sequence of avalanches between metastable states
 - Continually driven to criticality
 - No external tuning required
 - Example: Bak's sand pile



Held et al., PRL 65



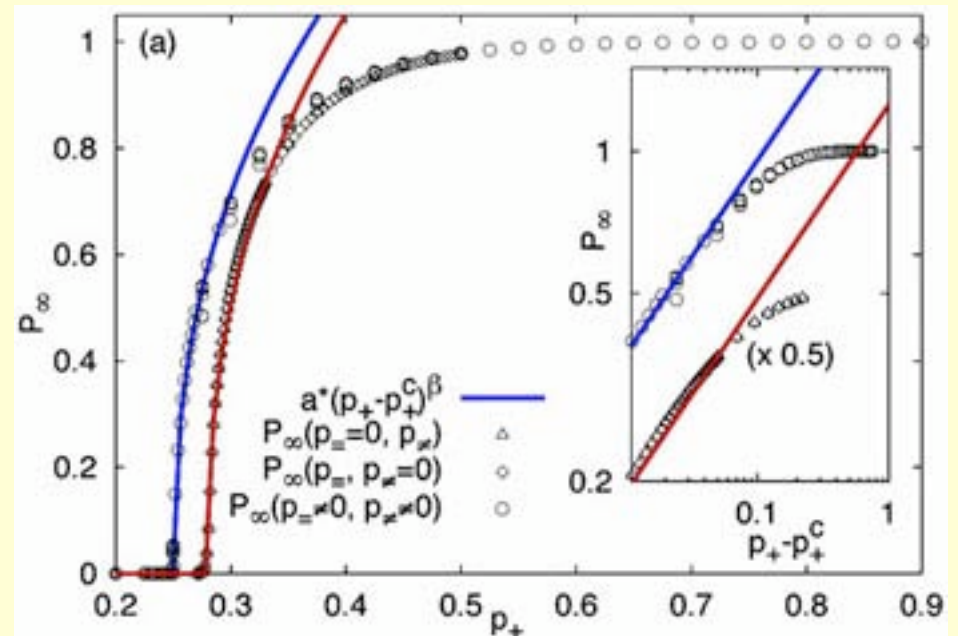
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Multi-Component Systems

- ❑ What happens when physically different components are in the system undergoing phase transition (protons+neutrons, different flavor quark&gluons, ...)?
- ❑ Possible:
 - *Change of character of phase transition*
Müller&Serot, PRC 52
 - *Shift in critical value of control parameter, same critical exponents*
Harreis&WB, PRB 62



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Event-by-Event

- ❑ Near critical point, information on fluctuations is essential; averaging destroys it
- ❑ Promising candidates: E-by-E moment analyses

$$M_k(e) = \sum_i n_e(i) i^k.$$

e = event, $n_e(i)$ = # of times i is contained in e

- ❑ E-by-E for different observables can generate N-dimensional scatter plots
- ❑ Big question: How to sort events into classes?
- ❑ Natural choice: If you know control parameter, use it!
(easy for theory, impossible for experiment)
- ❑ Closest choice: observable that is ~linear in control parameter.
- ❑ Attempt: use charged particle multiplicity, m .

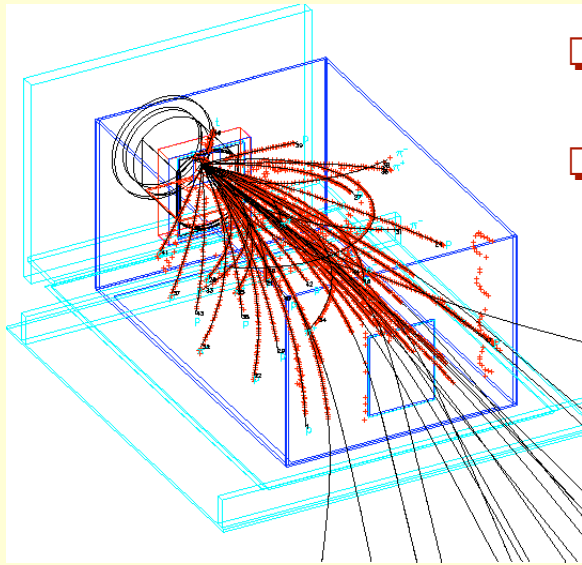


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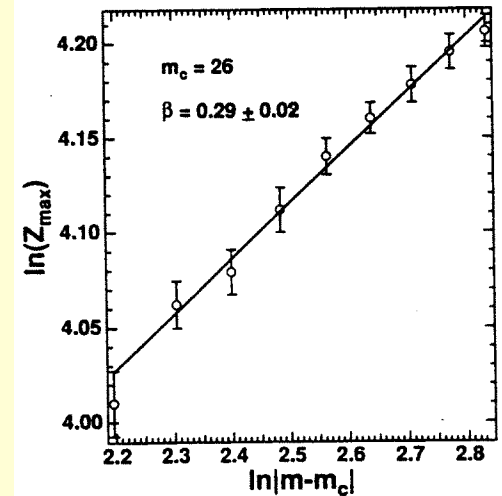
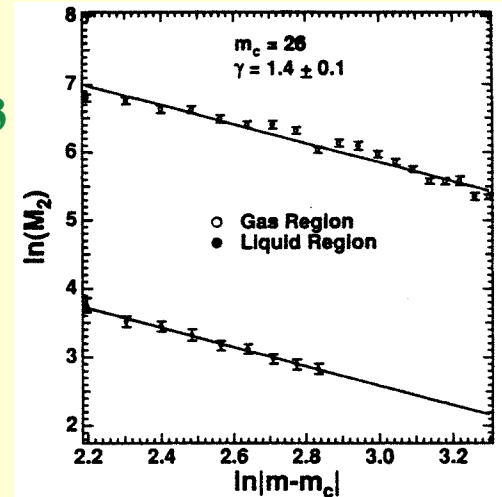


Determining Critical Exponents?



- ❑ EOS-TPC:
Gilkes et al., PRL 73
- ❑ Complete reconstruction of events: all charges recovered

- ❑ Assume charged-particle multiplicity is proportional to control parameter
- ❑ Find critical value, m_c ; extract critical exponents β and γ :
 $\gamma = 1.4, \beta = 0.29$
- ❑ Assuming validity of hyper-scaling: universality class of transition is completely determined



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Percolation

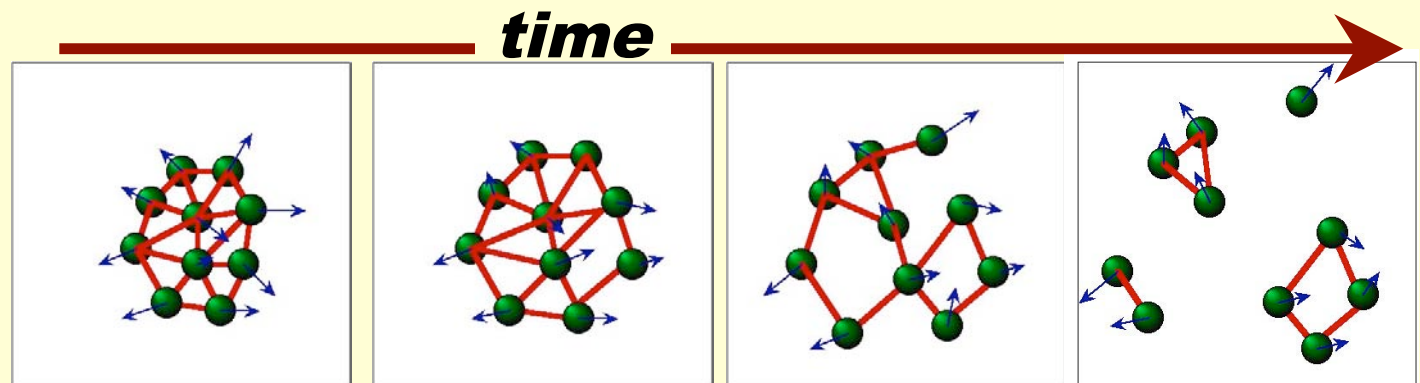
- ❑ Short-range NN force: nucleons in contact with nearest neighbors
- ❑ Expansion (thermal, compression driven, dynamical, ...)
- ❑ Bonds between nucleons rupture
- ❑ Remaining bonds bind nucleons into fragments
- ❑ One **control parameter**: bond breaking probability

WB *et al.*, PLB **150**, 53 (1985)
WB *et al.*, NPA **452**, 699 (1986)
X.Campi, JPA **19**, L917 (1986)
T. Biro *et al.*, NPA **459**, 692 (1986)
J. Nemeth *et al.*, ZPA **325**, 347 (1986)
...



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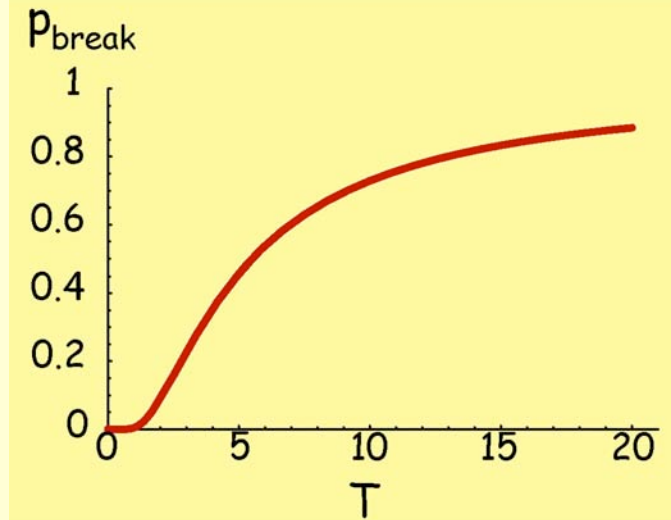
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Breaking Probability

- ❑ Determined by the excitation energy deposited
- ❑ Infinite simple cubic lattice:
 - 3 bonds/nucleon
 - It takes 5.25 MeV to break a bond



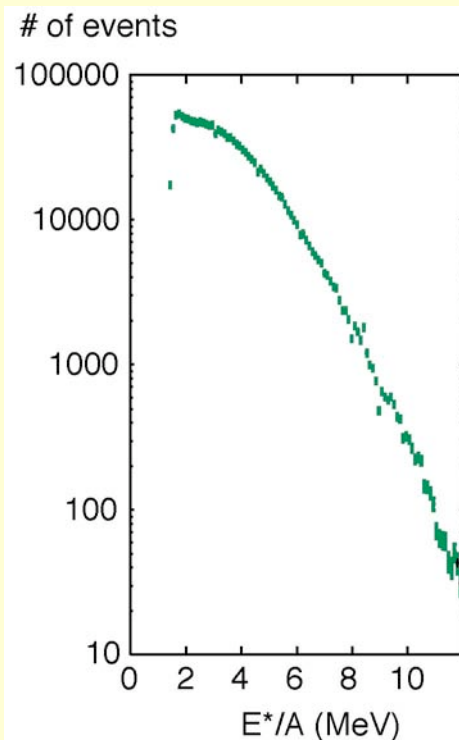
- ❑ p, π induced: Glauber theory
 - p_{break} proportional to path length through matter

- ❑ General relation between p_{break} and T :

$$p_{\text{break}} = 1 - \frac{2}{\sqrt{\pi}} \Gamma \left[\frac{3}{2}, 0, \frac{B}{T} \right]$$

Γ = generalized incomplete gamma function, B = binding energy per nucleon
 T. Li et al., PRL 70
 (generalization of Coniglio-Klein for Fermi systems)

- ❑ Obtain E^* or T from other model or directly from experiment



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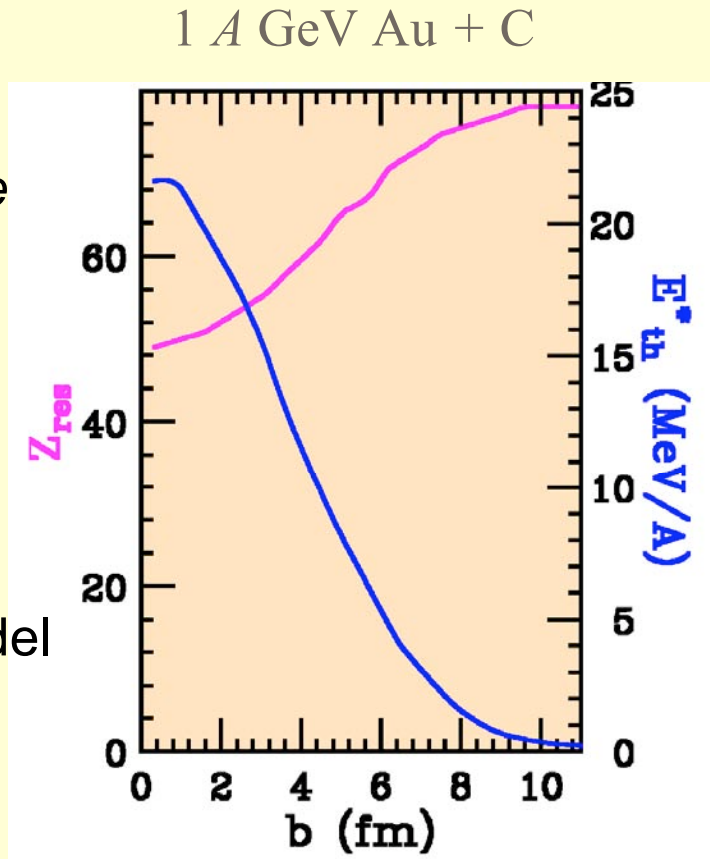


AA Collisions: Hybrid Model

- ❑ **First stage:** Intra-nuclear cascade (or other transport model)
 - ◆ Produces distribution of residue sizes and E^*
 - ◆ Convert E^* into temperature and percolation breaking probability

$$p_{\text{break}} = 1 - \frac{2}{\sqrt{\pi}} \Gamma \left[\frac{3}{2}, 0, \frac{B}{T} \right]$$

- ❑ **Second stage:** Percolation model with lattice size = charge of residue
 - ◆ Produces fragments
- ❑ Total multiplicity = INC pre-equilibrium + percolation output

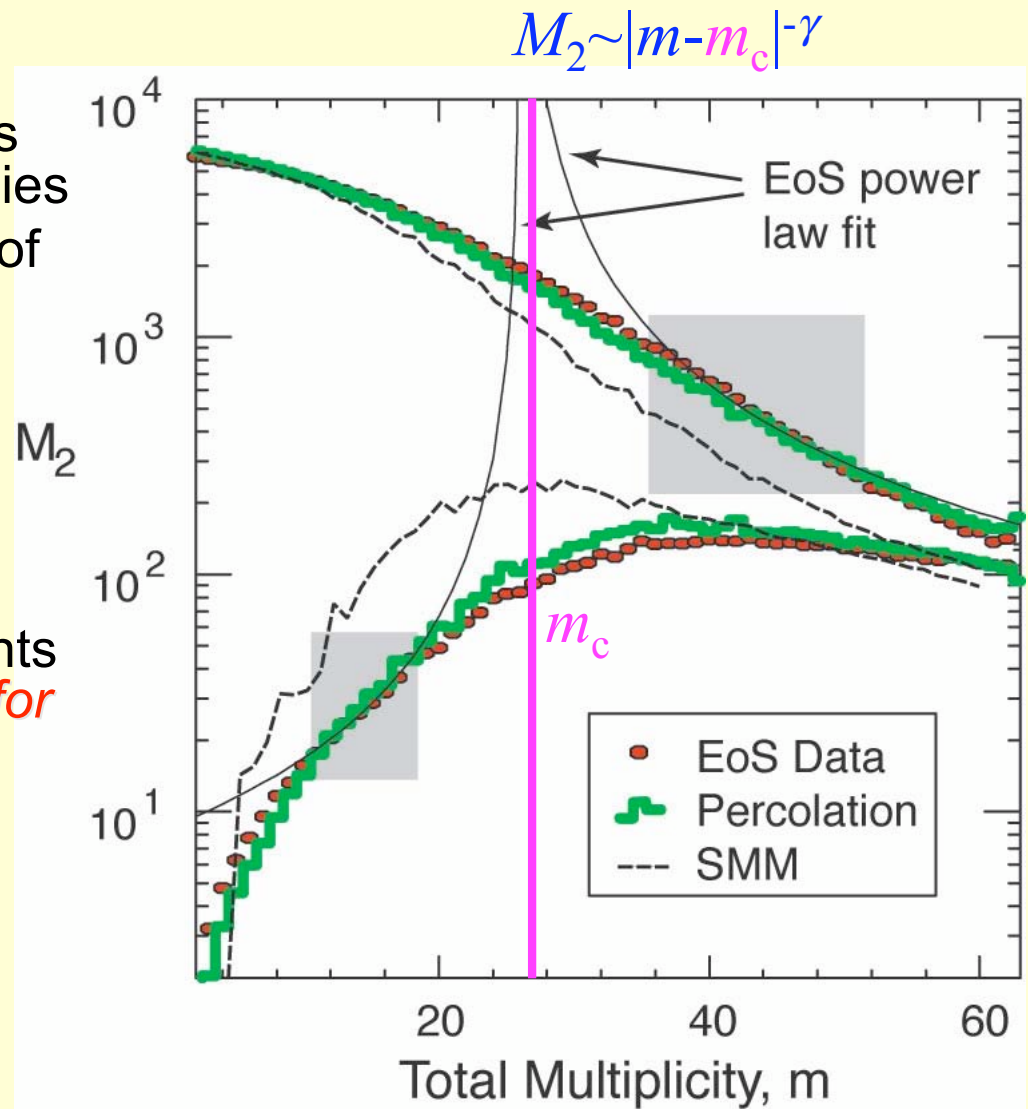


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EoS Data / Percolation

- ❑ 1 A GeV Au + C
- ❑ Data are integrated over all residue sizes and excitation energies
- ❑ Complete detection of all charges
- ❑ Data: red circles
- ❑ Percolation model: green histograms
- ❑ Percolation model contains critical events
 $\Rightarrow$ *Strong evidence for continuous phase transition*
- ❑ But: $\gamma = 1.80$,
 $\beta = 0.41$



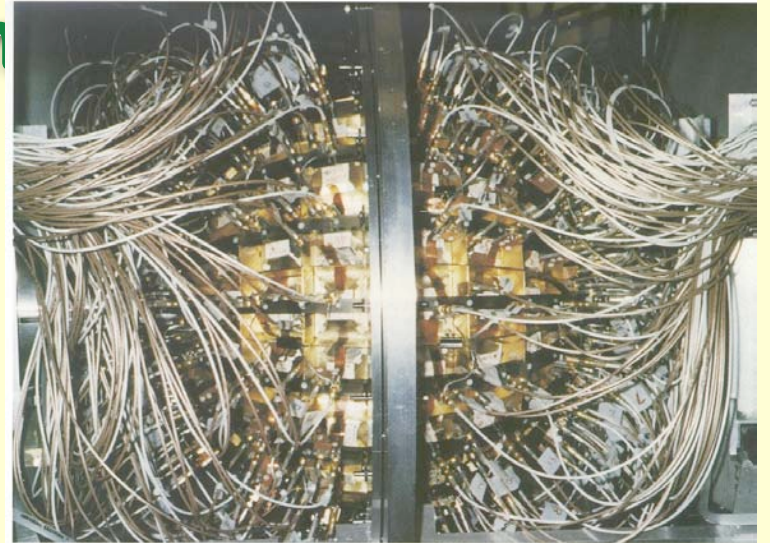
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ISiS BNL Experiment

- ❑ 10.8 GeV p or π + Au
- ❑ Indiana Silicon Strip Array
- ❑ Experiment performed at AGS accelerator of Brookhaven National Laboratory



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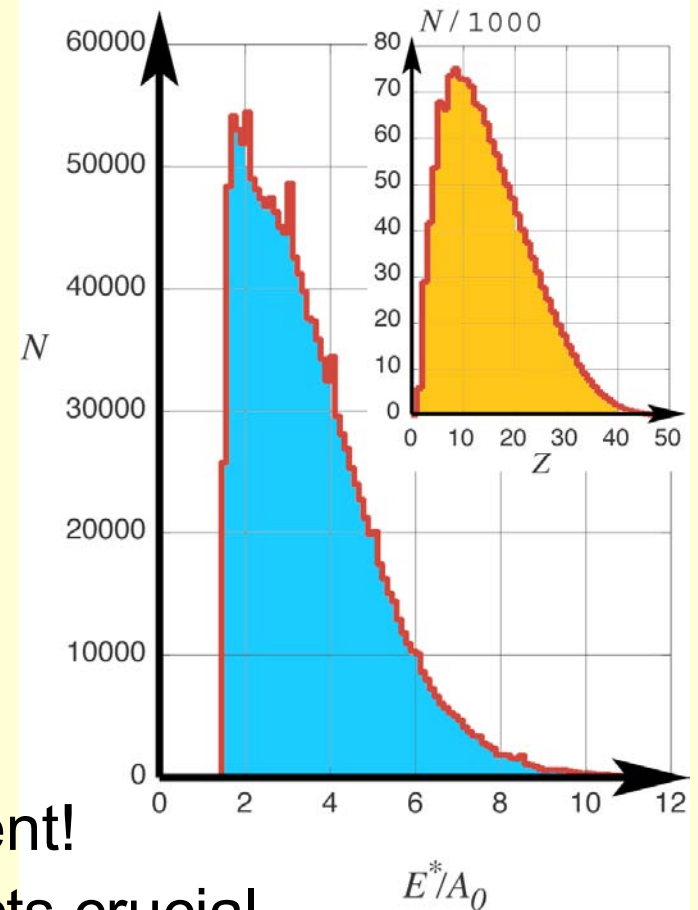




ISIS Data Analysis

- Marko Kleine Berkenbusch
- Collaboration w. Viola group

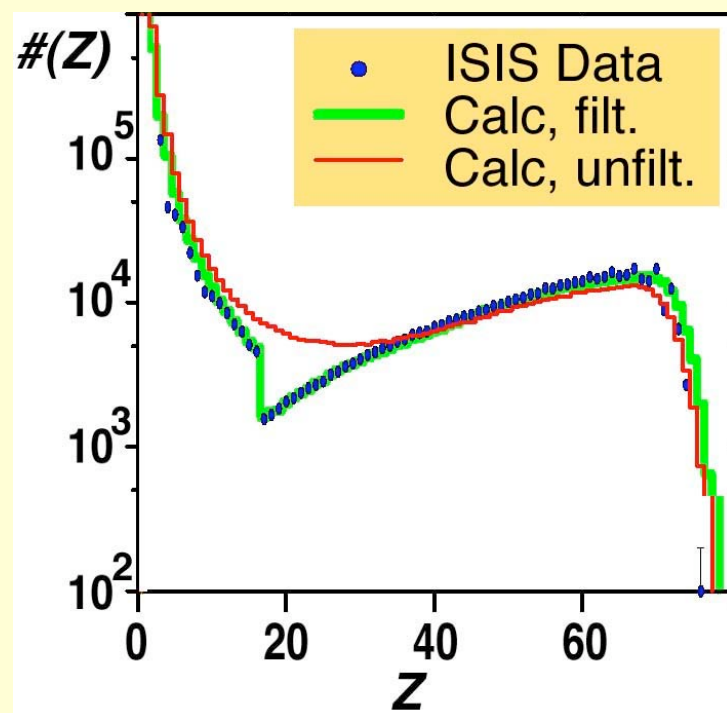
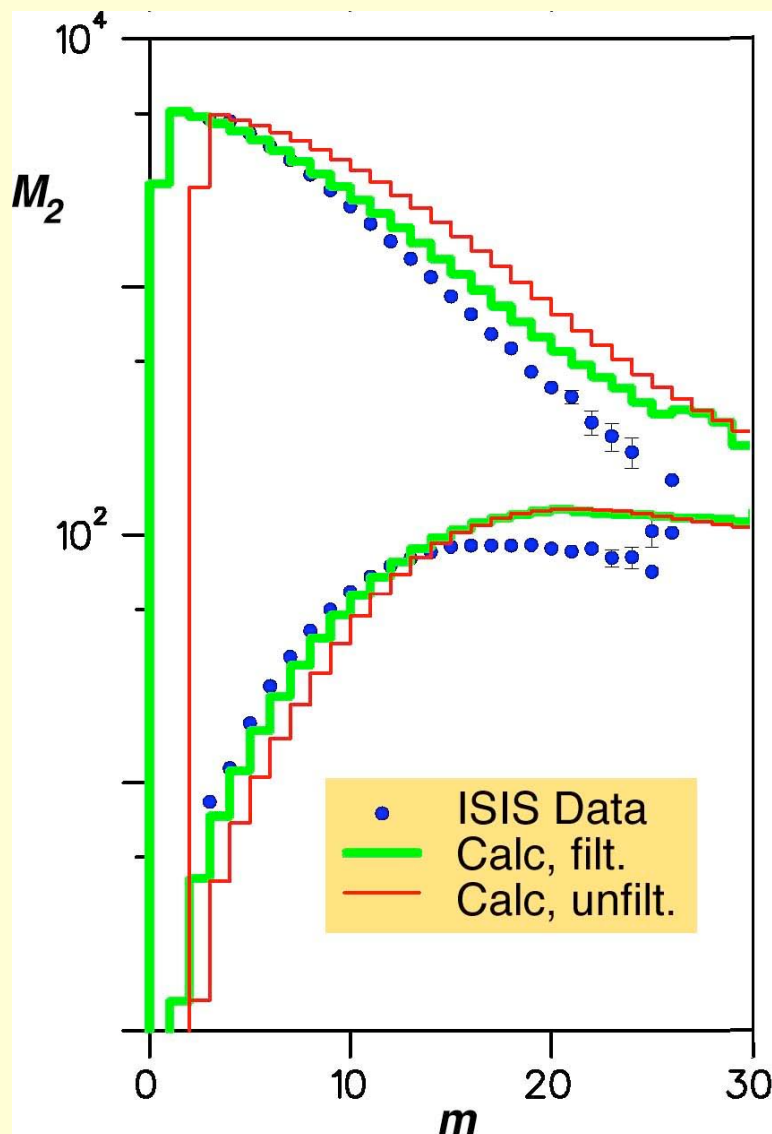
- ❑ Reaction:
 $p, \pi^+ \text{Au @AGS}$
- ❑ Very good statistics
($\sim 10^6$ complete events)
- ❑ Philosophy: Don't deal with energy deposition models, but take this information from experiment!
- ❑ Detector acceptance effects crucial
 - *filtered calculations, instead of corrected data*
- ❑ **Parameter-free** calculations



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Comparison



- Charge yield spectrum
- Second moments
- **Very good agreement** between theory and data

- ♦ *Filter very important*
- ♦ *Sequential decay corrections huge*



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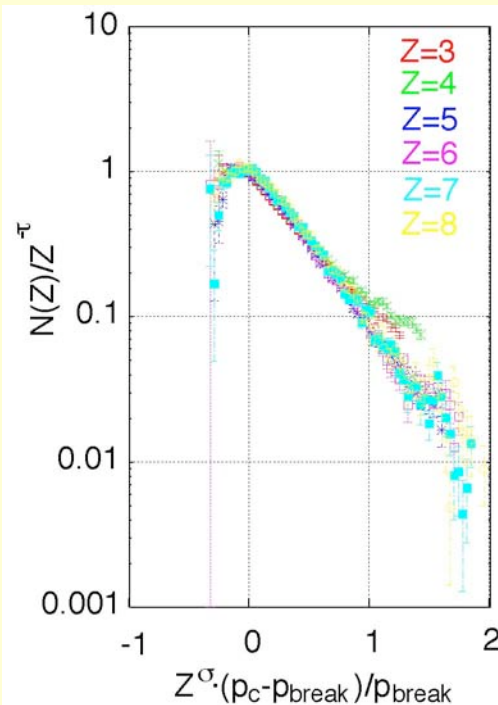
Scaling Analysis

- ❑ Idea (Elliott et al.): If data follow scaling function

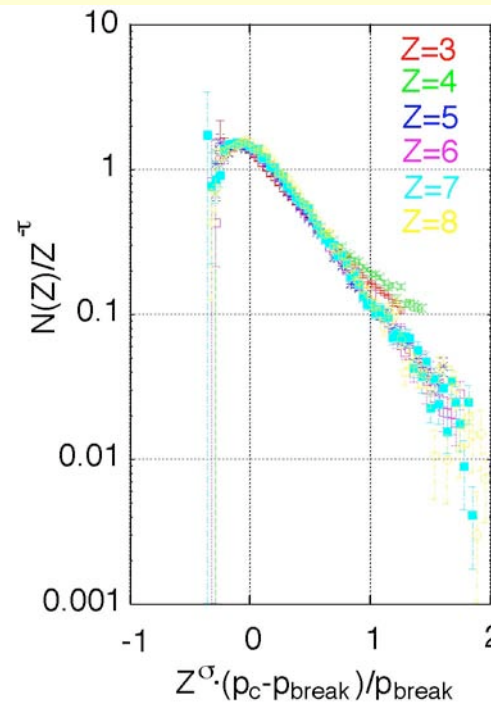
$$N(Z, T) = Z^{-\tau} f \left[\frac{T - T_c}{T_c} Z^\sigma \right]$$

with $f(0) = 1$ (think “exponential”), then we can use scaling plot to see if data cross the point $[0, 1]$ -> critical events

Unfiltered



Filtered



- ❑ Note:

- ❑ Critical events present, $p > p_c$
- ❑ Critical value of p was corrected for finite size of system



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Scaling of ISIS Data

- ❑ Most important: critical region and explosive events probed in experiment
- ❑ Possibility to narrow window of critical parameters
 - τ : vertical dispersion
 - σ : horizontal dispersion
 - T_c : horizontal shift
- ❑ χ^2 Analysis to find critical exponents and temperature

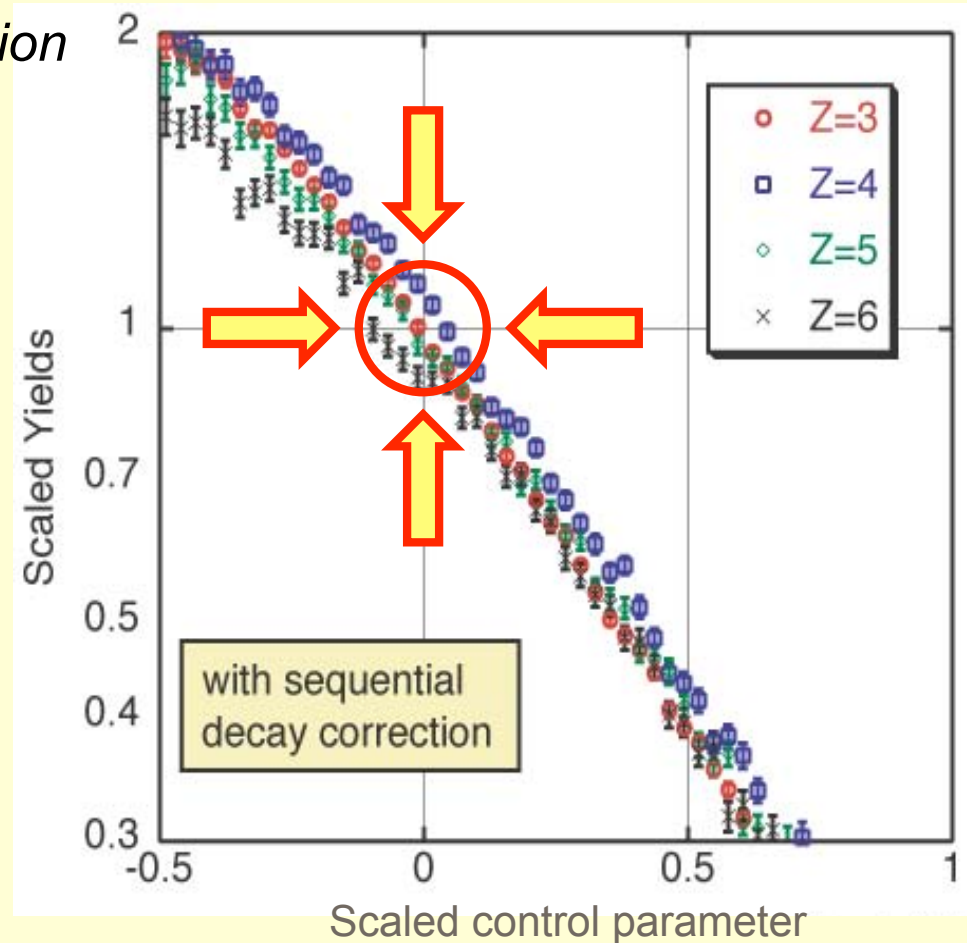
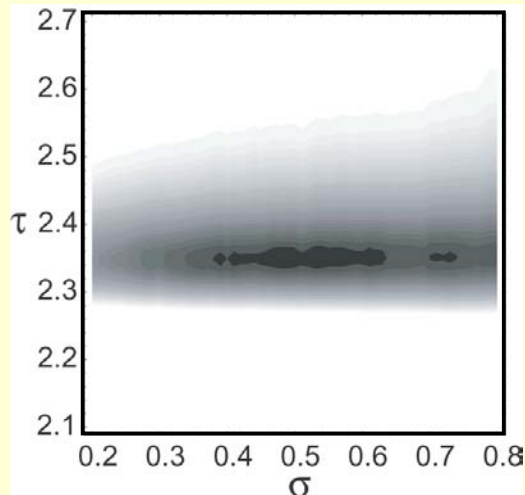
❑ Result:

$$\sigma = 0.5 \pm 0.1$$

$$\tau = 2.35 \pm 0.05$$

$$T_c = 8.3 \pm 0.2 \text{ MeV}$$

M. Kleine Berkenbusch *et al.*, PRL **88**



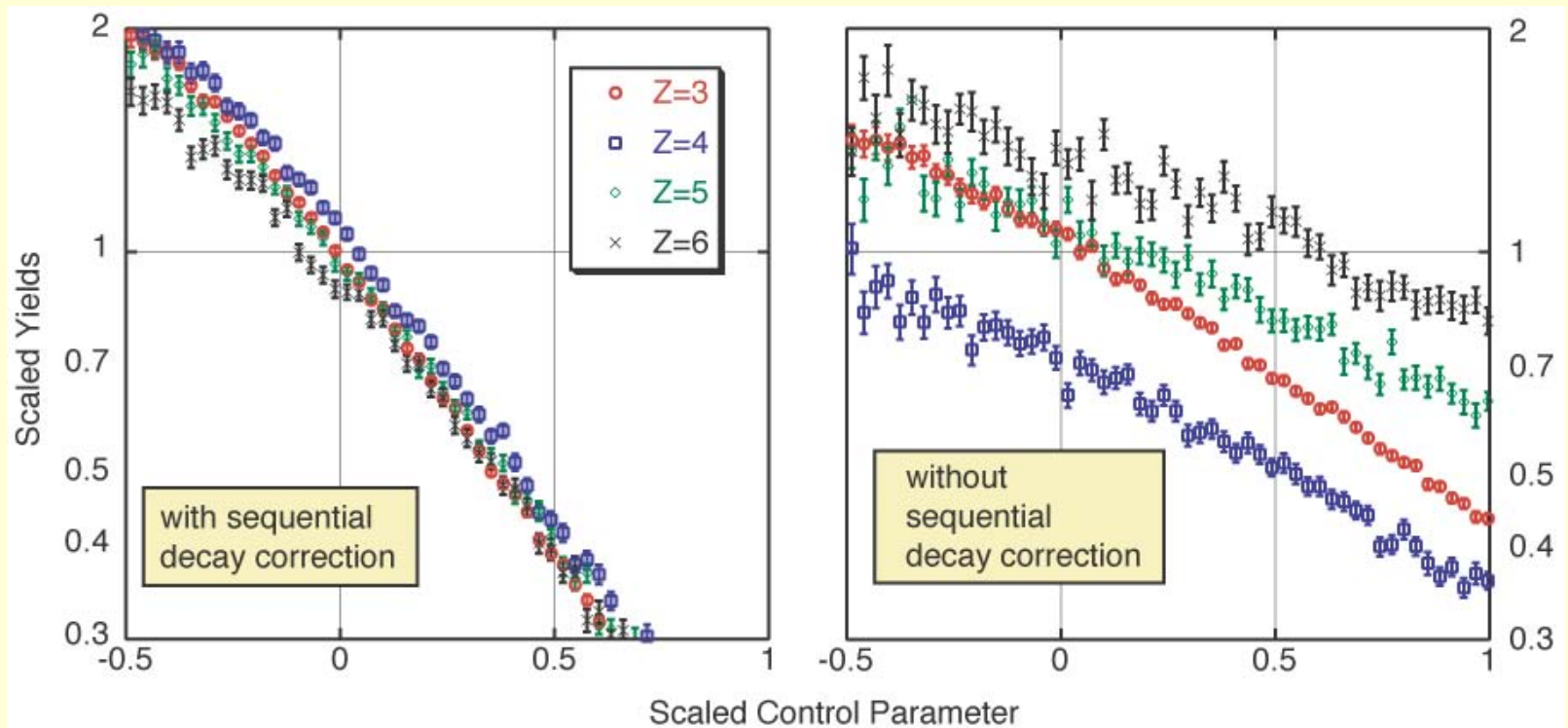
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Scaling of ISIS Data (2)

- ❑ Note: This only works because of very careful correction for sequential decays!
- ❑ Best-fit scenarios for both cases:
 - ♦ *Scaling collapse only when sequential decay correction is performed*
 - ♦ *Technique fails without it*



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Freeze-Out Density

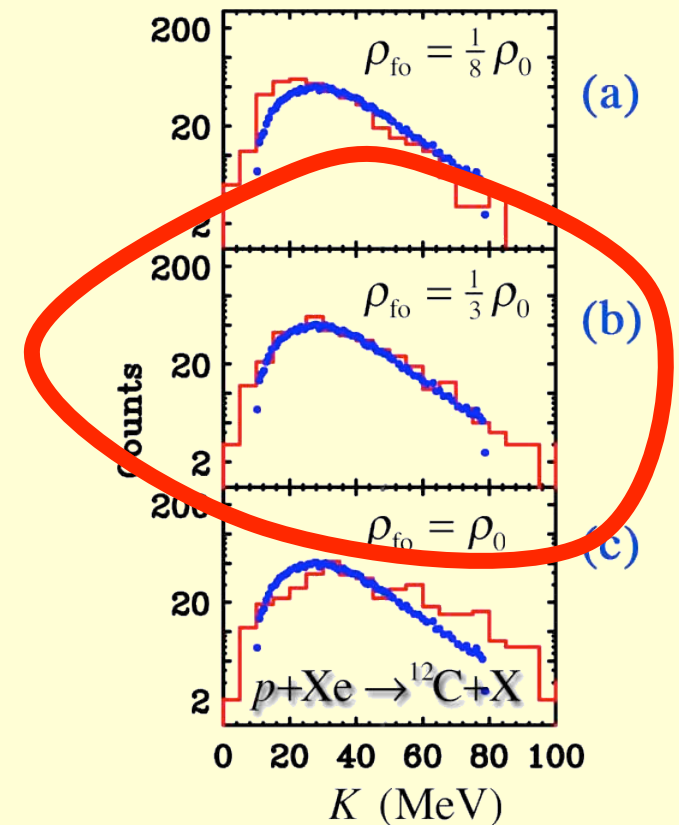
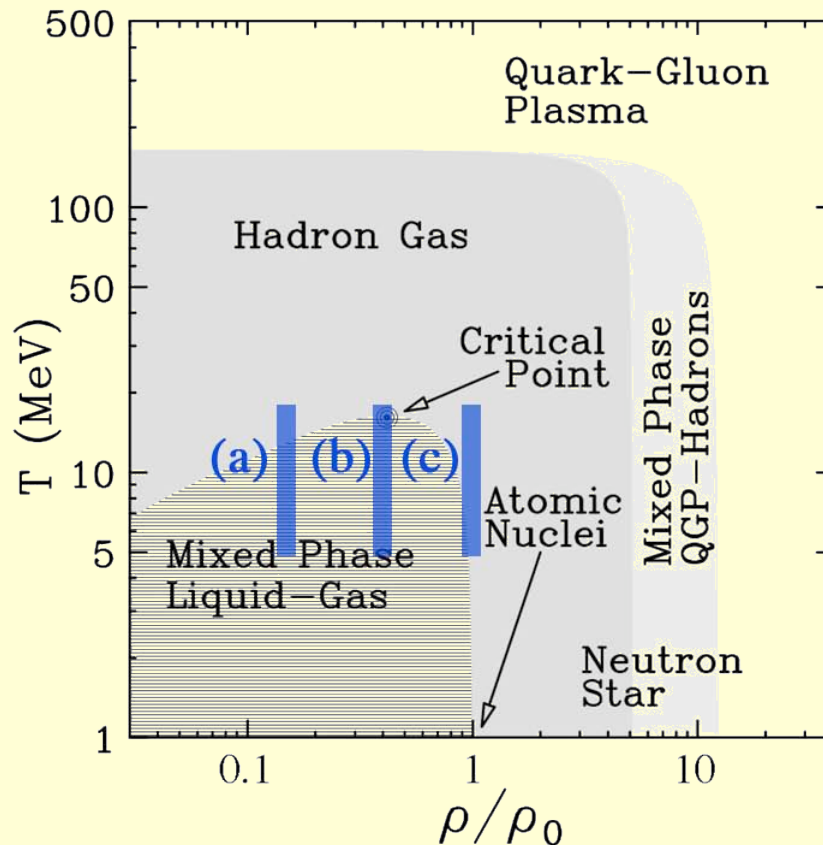
$$p_b = 1 - \frac{2}{\sqrt{\pi}} \Gamma(\frac{3}{2}, 0, B/T)$$

- ❑ Percolation model only depends on breaking probability, which can be mapped into a temperature.
- ❑ **Q:** How to map a 2-dimensional phase diagram?
- ❑ **A:** Density related to fragment energy spectra; Coulomb many-body expansion of pre-fragments

WB, Alleman, Pratt, AIP conf.proc.884, 327 (2007)

WB, Nucl.Phys. A787, 595c (2007)

$$\rho_c = (0.35 \pm 0.1)\rho_0$$

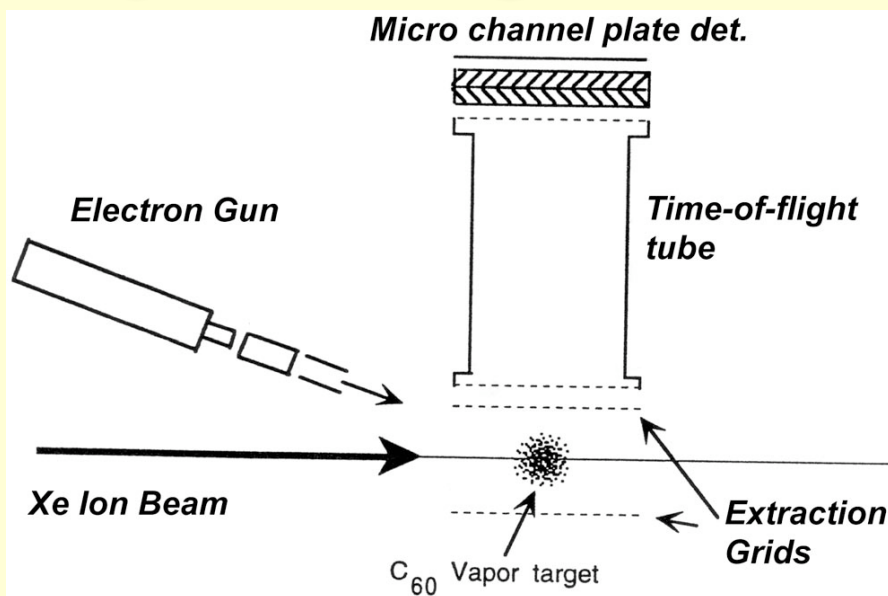


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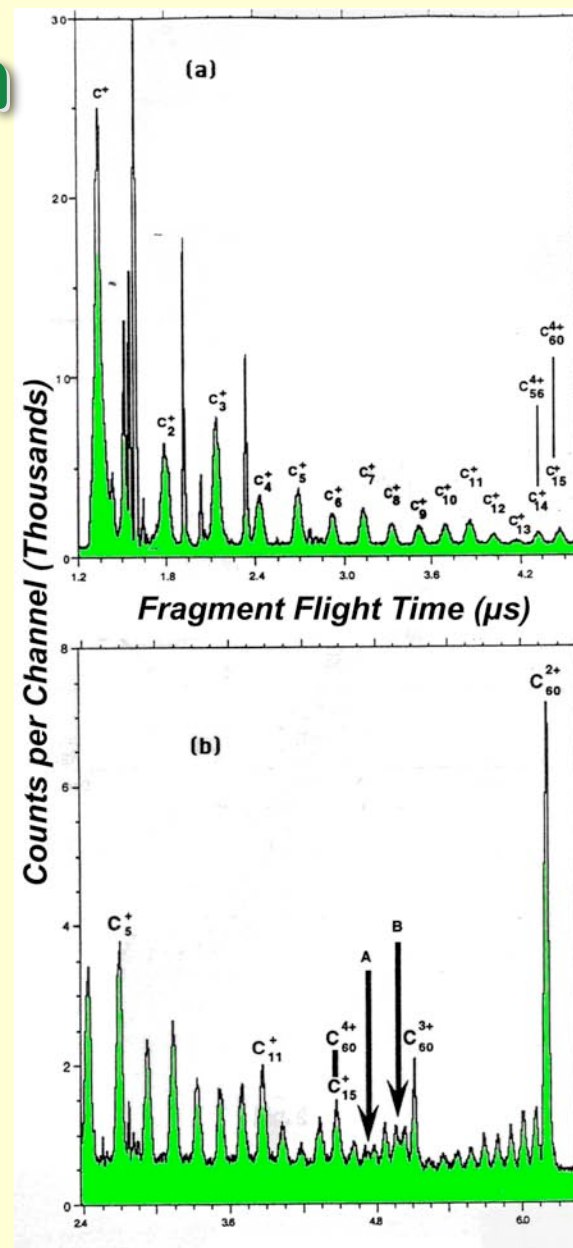
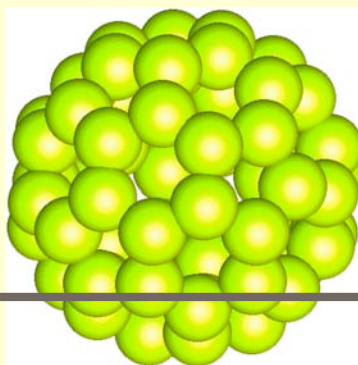


Buckyball Fragmentation



Binding energy
of C_{60} :
420 eV

625 MeV
 Xe^{35+}



Cheng et al., PRA 54



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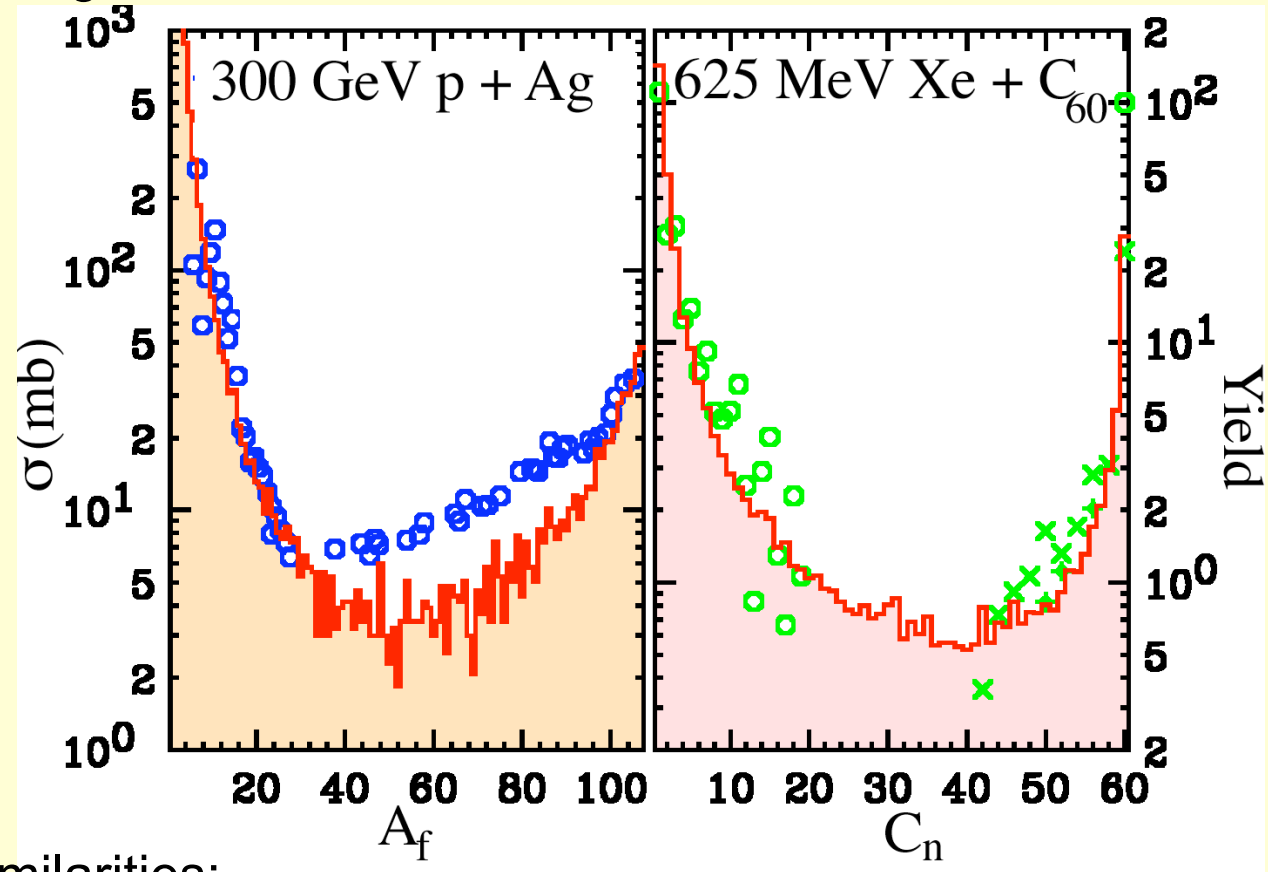
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Cross-Disciplinary Comparison

Data:
Bujak et al., PRC 32
LeBrun et al., PRL 72
Calc.:
WB, PRC 38
Cheng et al., PRA 54

- Left: Nuclear Multifragmentation
- Right: Buckyball Fragmentation
- Histograms: Percolation Models



- Similarities:
 - U - shape (b -integration)
 - Power-law for imf's (1.3 vs. 2.6)
 - Binding energy effects provide fine structure



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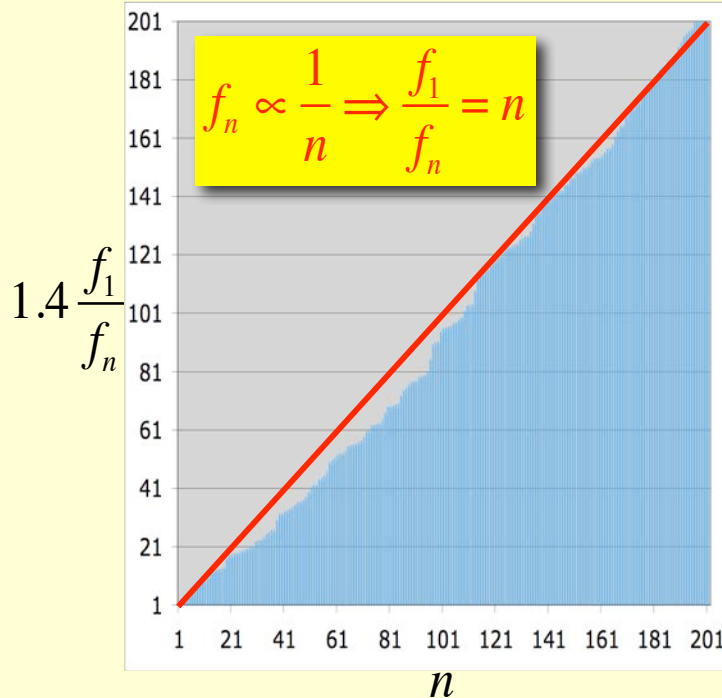
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Zipf's Law

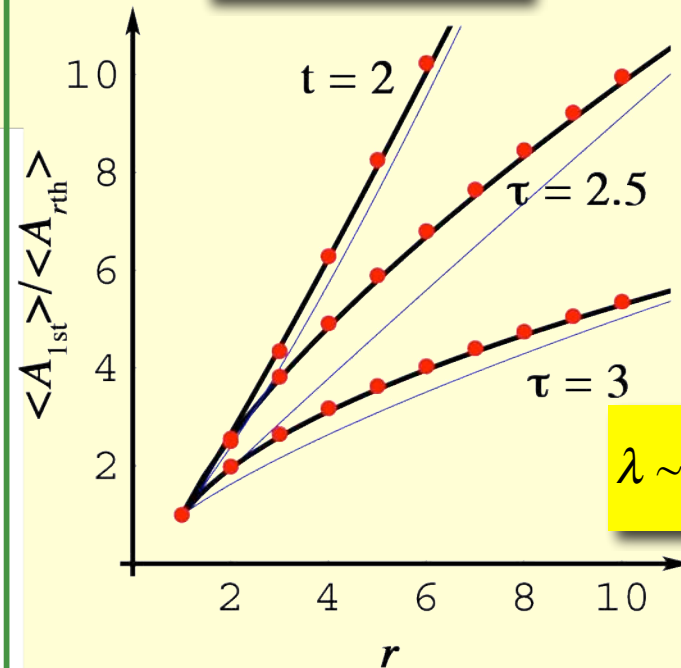
- Count number of words in a book (in English) and order the words by their frequency of appearance
- Find that the most frequent word appears twice as often as next most popular word, three times as often as 3rd most popular, ...

G. K. Zipf, *Human Behavior and the Principle of Least Effort* (1949)



- Nuclear fragmentation: size of clusters vs. their rank follows generalized Zipf-Mandelbrot law, with power law exponent determined by critical exponent.

$$\langle A_{rth} \rangle = \frac{c}{(r+k)^\lambda}$$



K. Paech, W. Bauer, S. Pratt,
PRC 76, 2007, arXiv:0706.0913v1



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Zipf's Law: Probabilities (1)

- Probability that cluster of size A is the largest one = probability that at least one cluster of size A is present times probability that there are 0 clusters of size $>A$

$$\begin{aligned} P_{1st}(A) &= p_{\geq 1}(A) \cdot p_0(>A) \\ &= [1 - p_0(A)] \cdot p_0(>A) \end{aligned}$$

- $N(A)$ = average yield of size A : $N(A) = aA^{-\tau}$
- $N(>A)$ = average yield of size $>A$: (V = event size)

$$N(>A) = \sum_{i=A+1}^V N(i) = \sum_{i=A+1}^V ai^{-\tau} = a\zeta(\tau, 1+A) - a\zeta(\tau, 1+V)$$

- Normalization constant a from condition: $\sum_{A=1}^V A \cdot N(A) = V$

$$a = V / \sum_{A=1}^V A^{1-\tau} = V / H_V^{(1-\tau)}$$



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Zipf's Law: Probabilities (2)

- Use Poisson statistics for individual probabilities:

$$p_n(i) = \frac{\langle N(i) \rangle^n e^{-\langle N(i) \rangle}}{n!}$$

$$p_0(i) = e^{-\langle N(i) \rangle}; p_1(i) = \langle N(i) \rangle p_0(i); p_2(i) = \frac{1}{2} \langle N(i) \rangle p_1(i) \dots$$

- Put it all together:

$$\begin{aligned} P_{1st}(A) &= [1 - p_0(A)] \cdot p_0(> A) \\ &= [1 - e^{-N(A)}] \cdot e^{-[a\zeta(\tau, 1+A) - a\zeta(\tau, 1+V)]} \end{aligned}$$

- Average size of biggest cluster

$$\langle A_{1st} \rangle = \sum_{A=1}^V A \cdot P_{1st}(A) \quad (\text{Exact expression!})$$



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Zipf's Law: Probabilities (3)

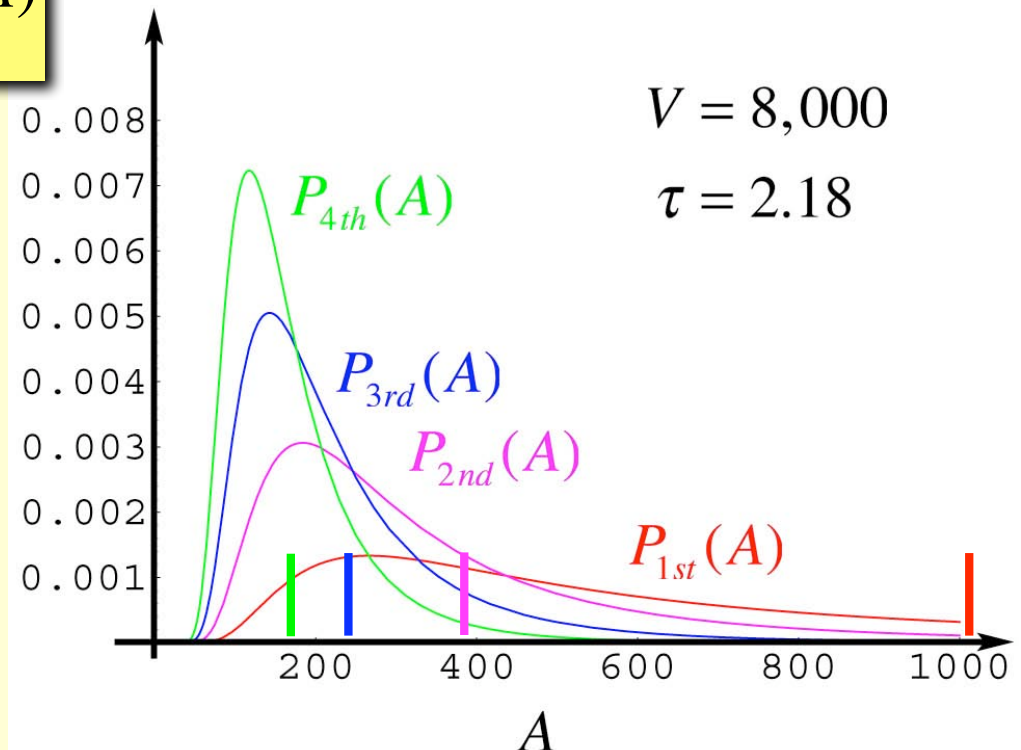
- Probability for given A to be 2nd biggest cluster:

$$\begin{aligned} P_{2nd}(A) &= p_{\geq 2}(A) \cdot p_0(> A) + p_{\geq 1}(A) \cdot p_1(> A) \\ &= [1 - p_0(A) - p_1(A)] \cdot p_0(> A) + [1 - p_0(A)] \cdot p_1(> A) \end{aligned}$$

- Average size of 2nd biggest cluster:

$$\langle A_{2nd} \rangle = \sum_{A=1}^V A \cdot P_{2nd}(A)$$

- And so on ...
- Recursion relations!



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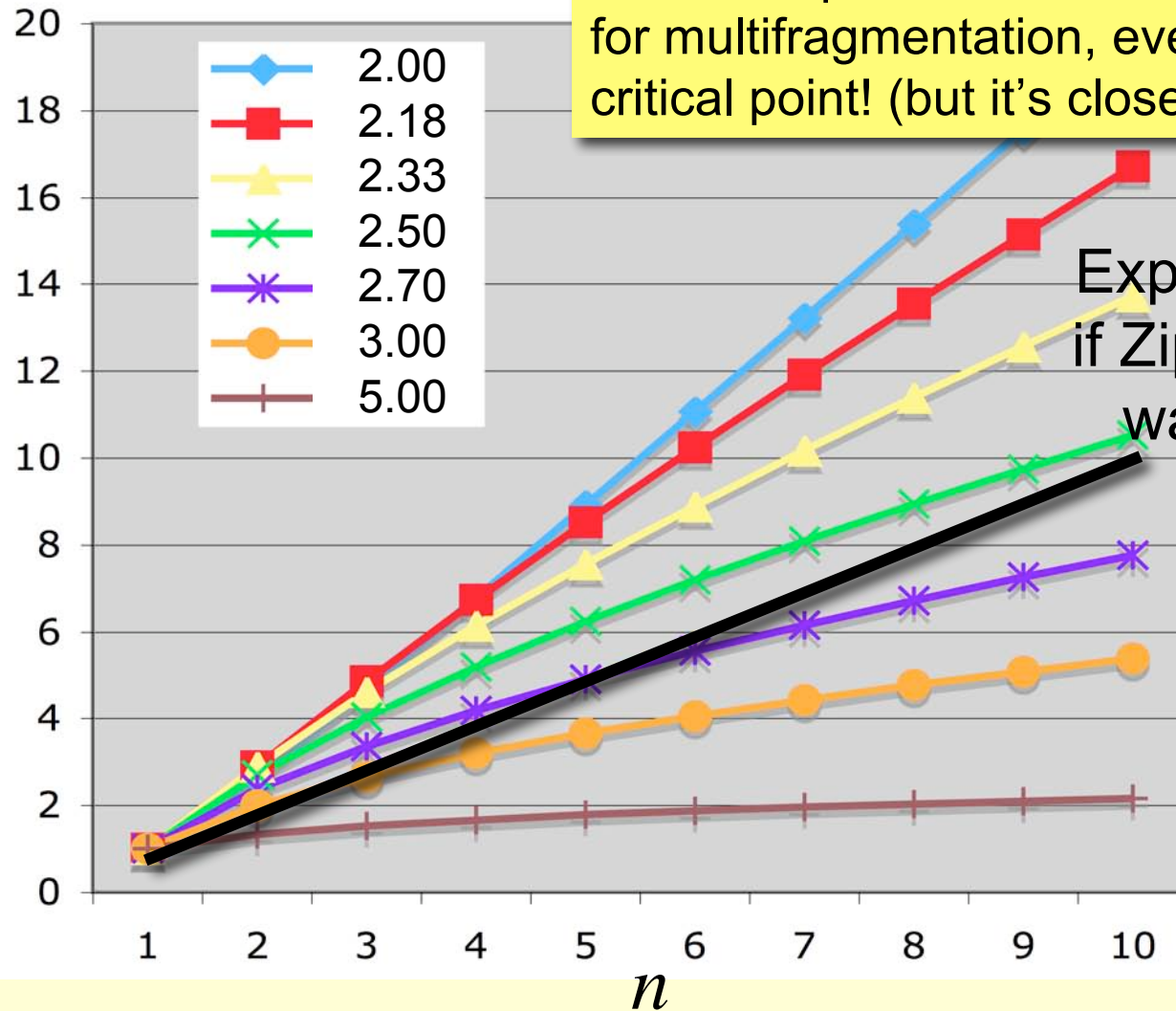
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Zipf's Law: τ -dependence

$$\langle A_1 \rangle / \langle A_n \rangle$$

Verdict: Zipf's Law does not work for multifragmentation, even at the critical point! (but it's close)



Expectation if Zipf's Law was exact



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Resulting distributions: Zipf-Mandelbrot



Zipf-Mandelbrot

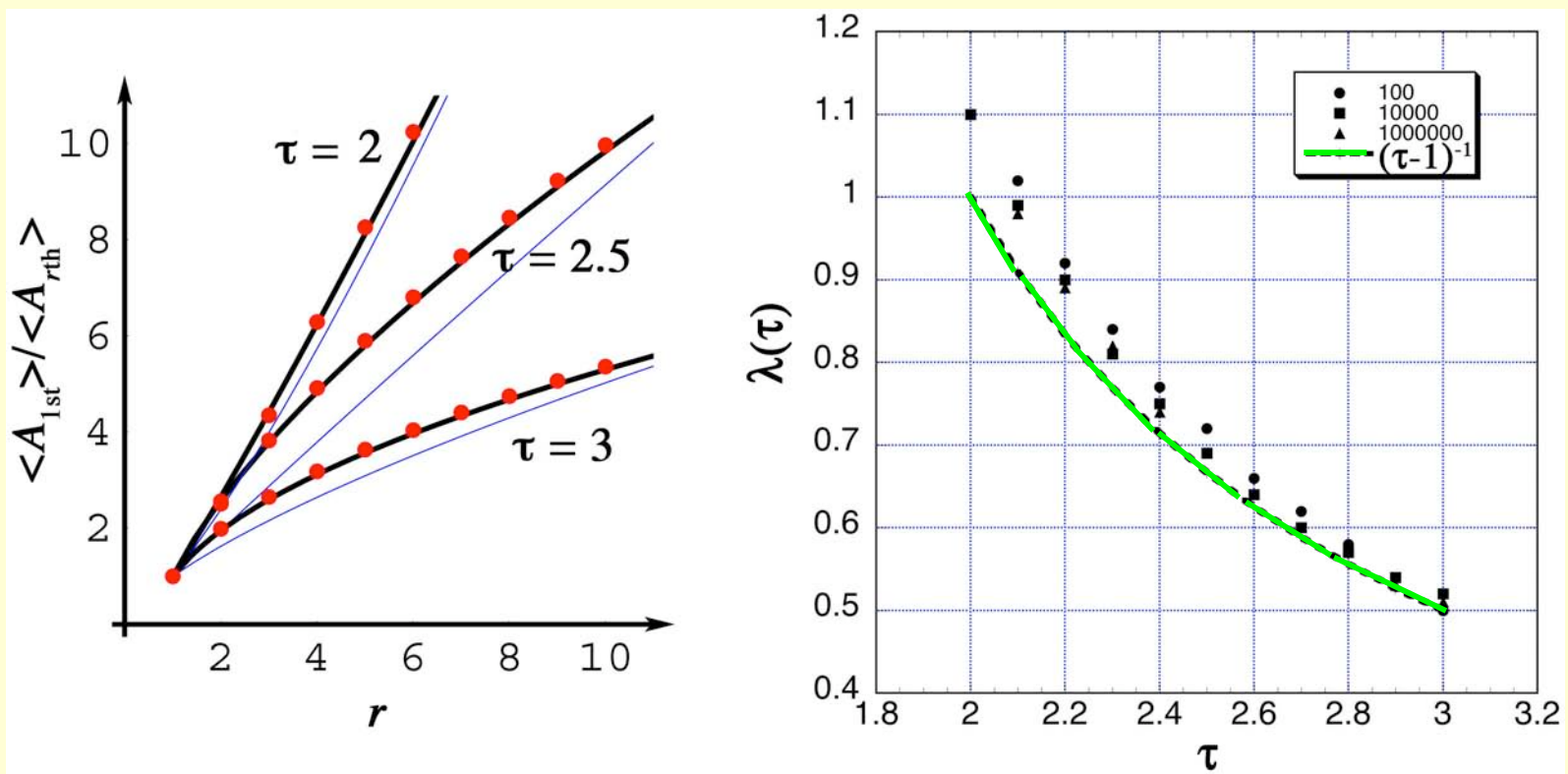
- Limiting distributions for cluster size vs. rank

$$\langle A_{rth} \rangle = \frac{c}{(r+k)^\lambda}$$

with exponent

$$\lambda \sim \frac{1}{\tau - 1}$$

- Proof for infinite system in continuum limit with $\tau=2$: Paech, WB, Pratt, PRC 76, 2007



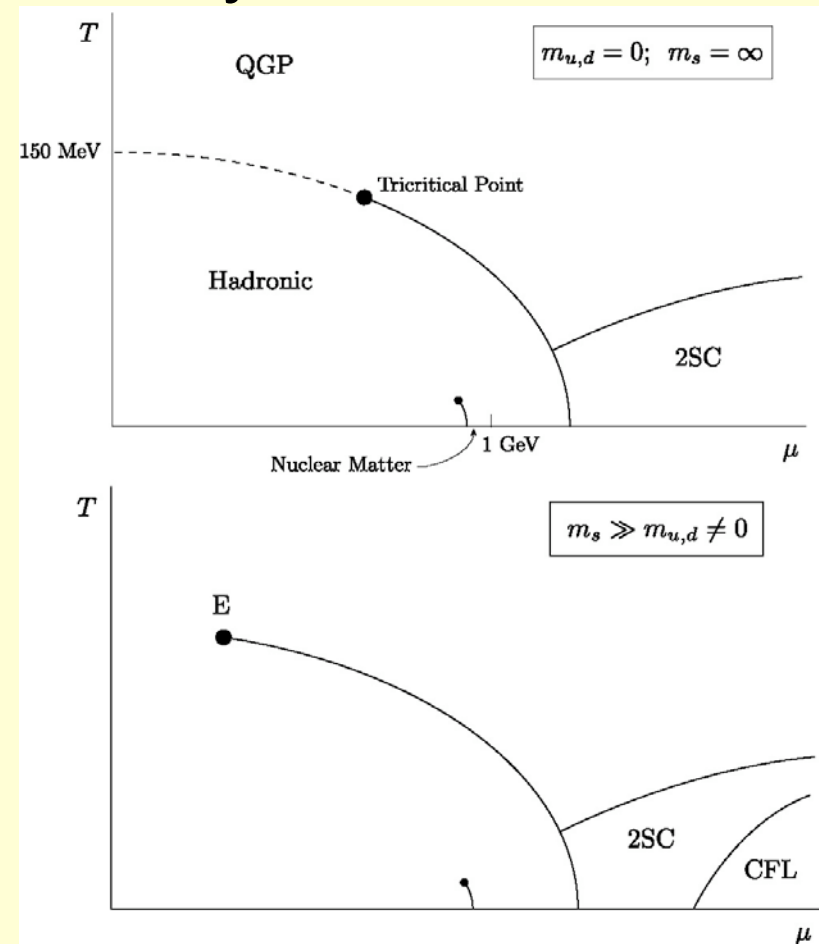
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How to find the QCD Critical Point

- ❑ There are no large fragments!
- ❑ What clusters? What fluctuates?
- ❑ What is the order parameter that can be measured experimentally?
- ❑ What should CBM look for?



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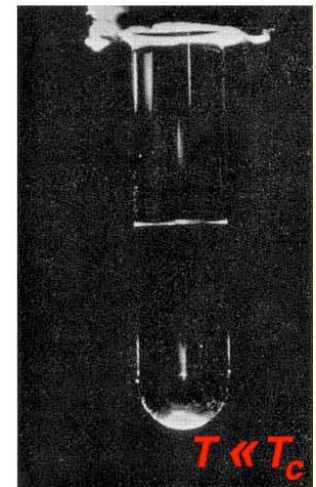
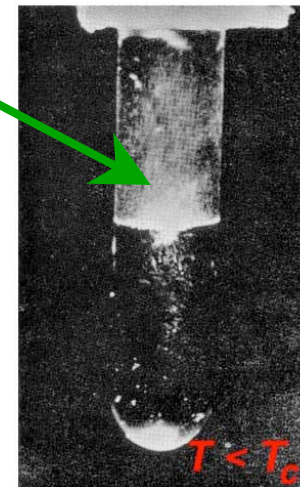
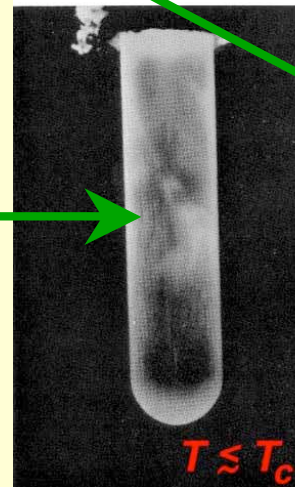
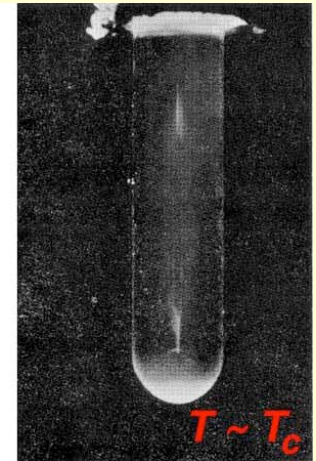
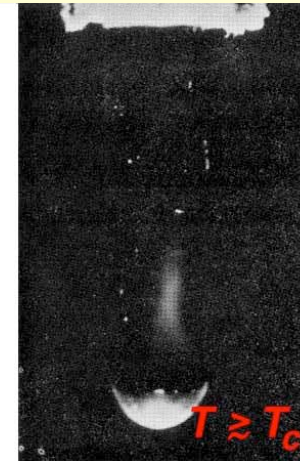
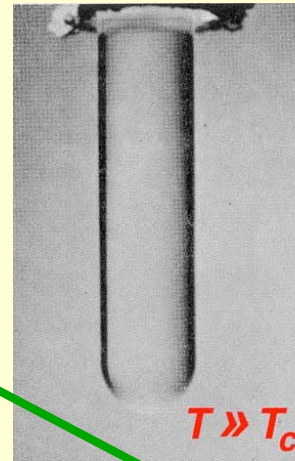


Liquid at the Critical Point

Cyclohexane-Aniline

Liquid-vapor
coexistence

Critical
Opalescence:
Light scattering
off fluctuations



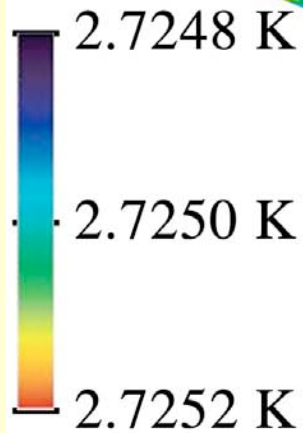
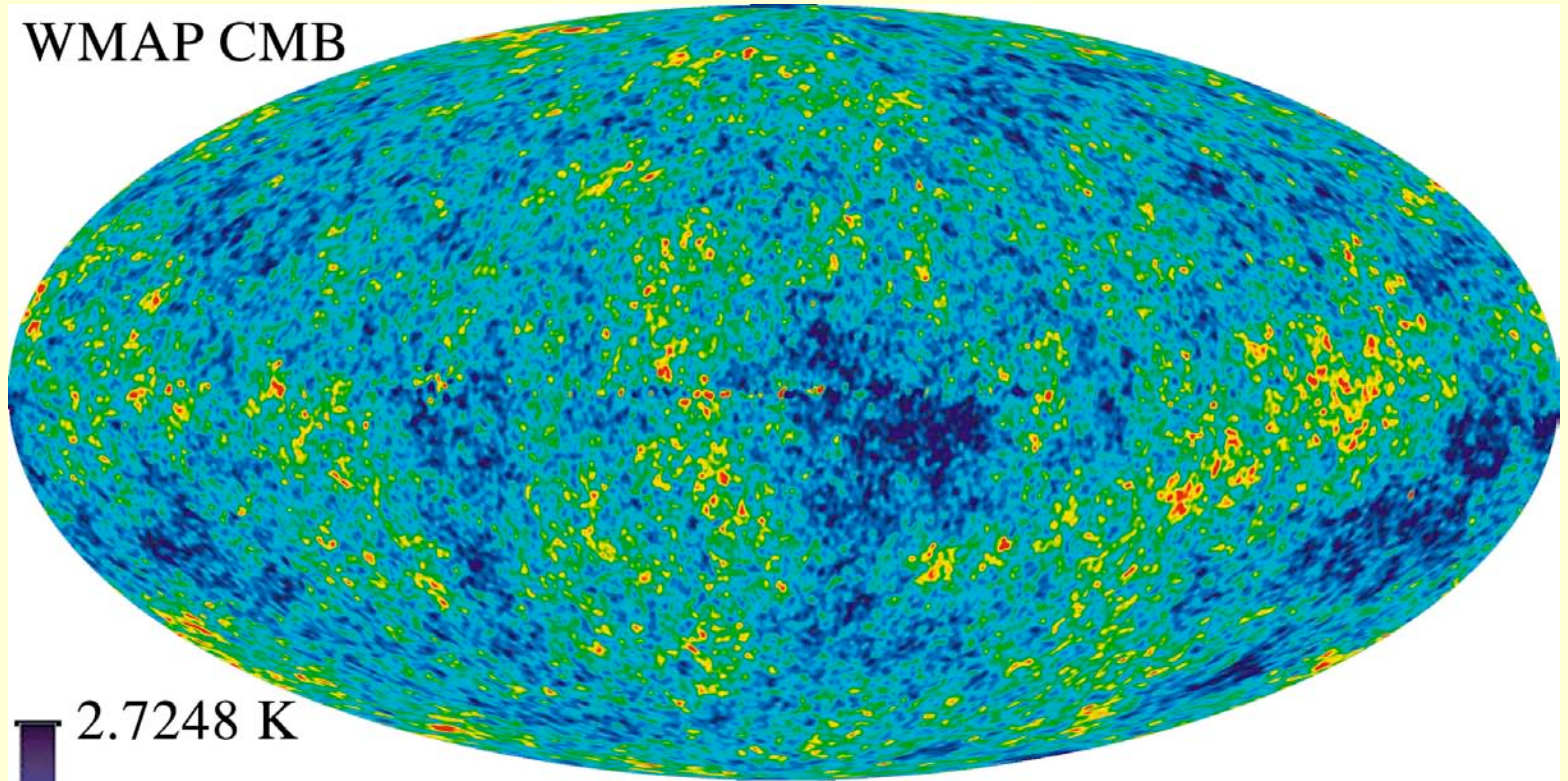
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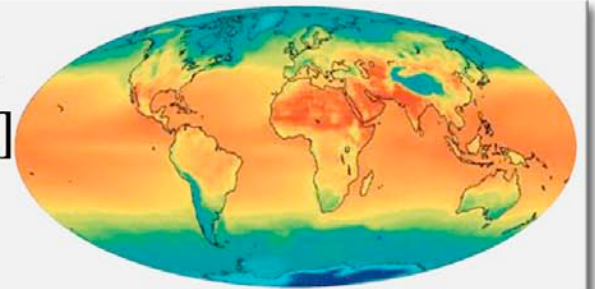


Fluctuations

WMAP CMB



June 1992 Earth
Temperatures [K]

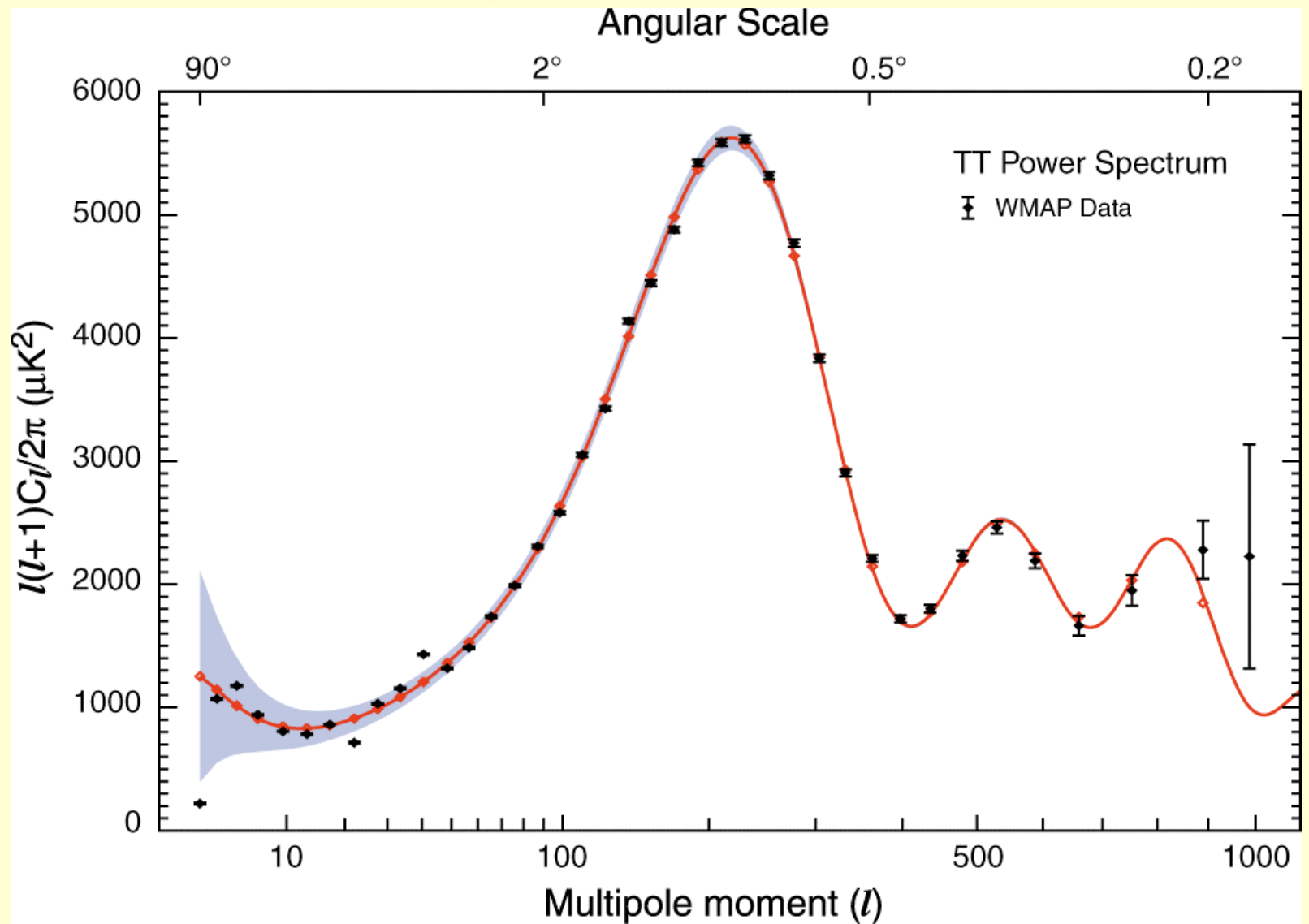


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Multipole Analysis



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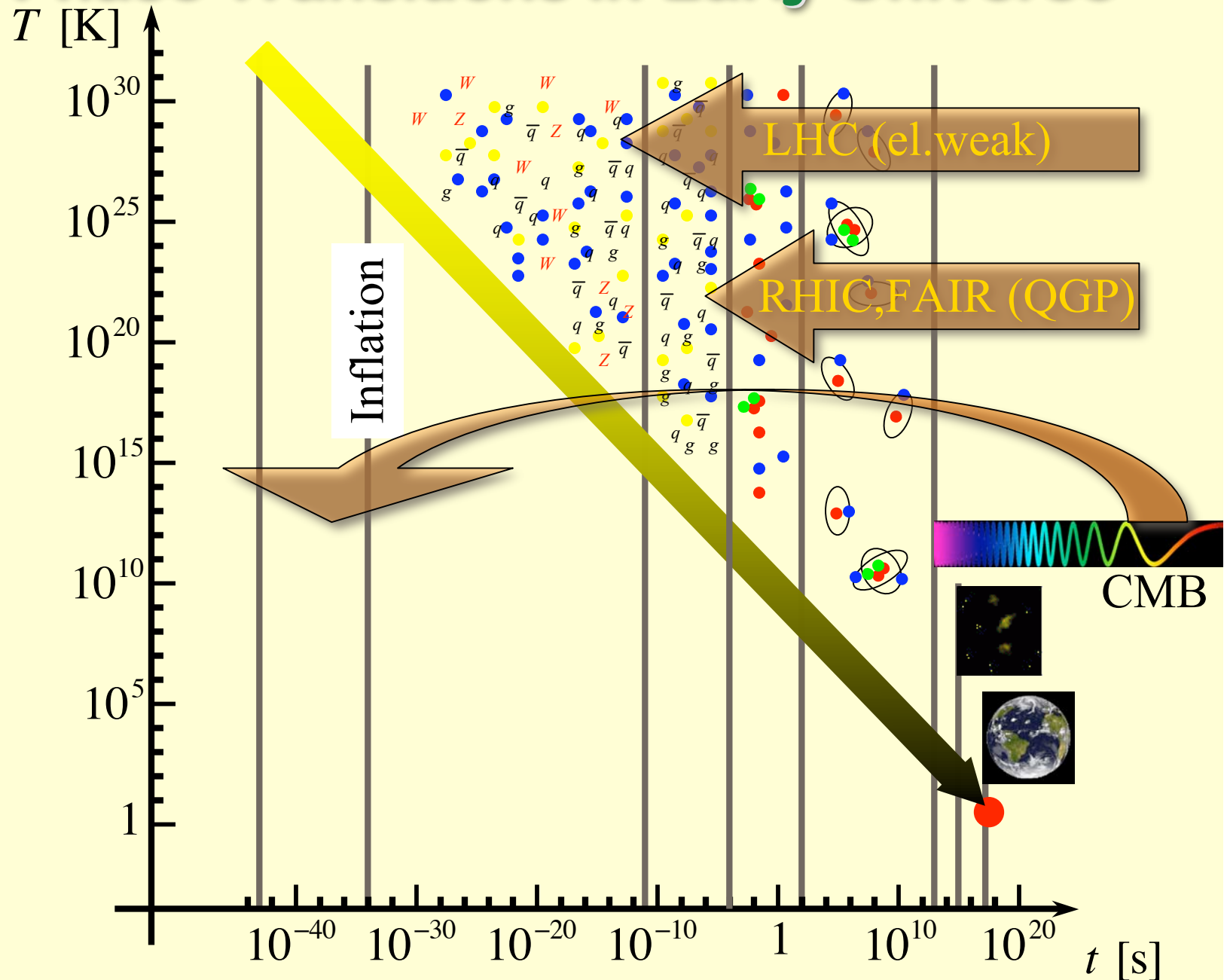
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Phase Transitions in Early Universe





The “CMB” of CBM

- ❑ Photons emitted from early collision stages scatter off the fluctuations
 - *critical opalescence*
- ❑ Similar effect for pions
 - *“critical pion opacity”*
- ❑ Cluster analysis in momentum space for pions and photons
- ❑ FAIR chance that the signal of the critical point survives the later stages of final state interaction
- ❑ Finite size constraints
 - *Do not expect a sharp peak!*
 - *A bump is all that you will get at best*
 - *Unambiguous experimental signals are hard to come by*
 - *Lots of modeling needed to interpret results*



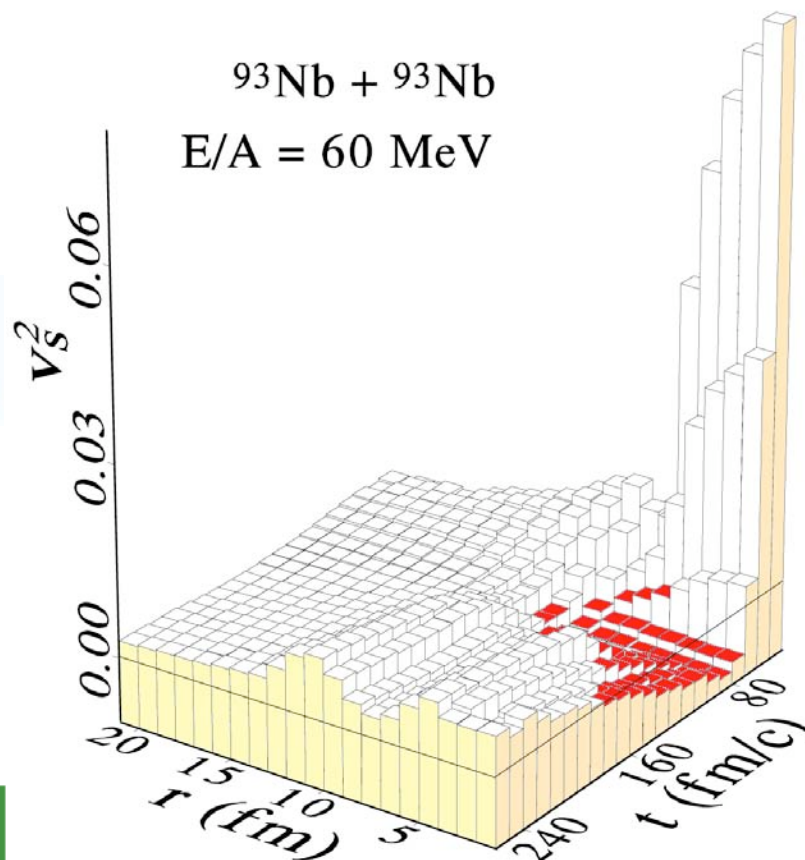
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Dynamics

- ❑ Thermal equilibrium assumptions not (always) valid
- ❑ Need transport theory
- ❑ Various event class averages (event vs. thermal!)
- ❑ Connections to underlying phase diagram poorly understood
- ❑ Transient formation of non-compact structures



- *Sheet instabilities*
Moretto et al., PRL 69
- *Bubble and ring formation*
WB, Schulz, Bertsch, PRL 69
- *Imaginary sound velocity causes exponential growth in fluctuations; non-equilibrium in origin*
- *Similar effect now postulated for RHIC collisions (Pratt 2008)*



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Critical Slowing Down

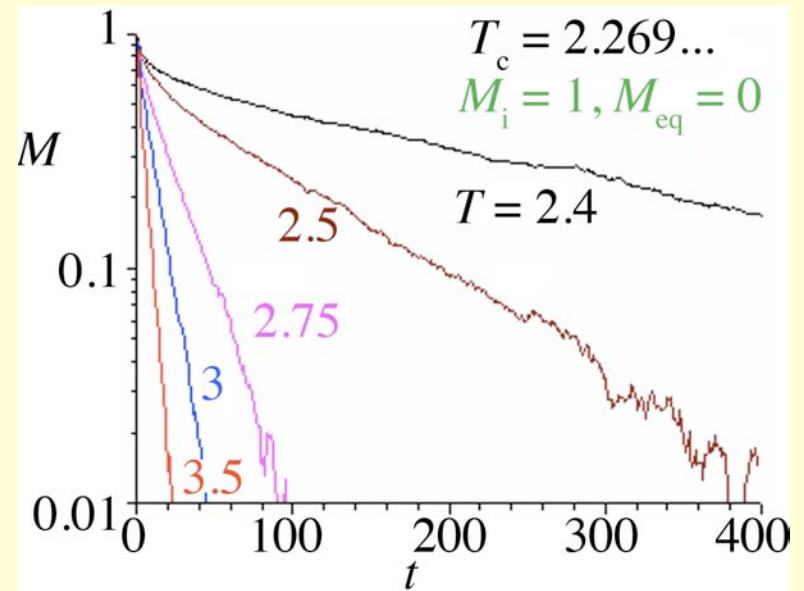
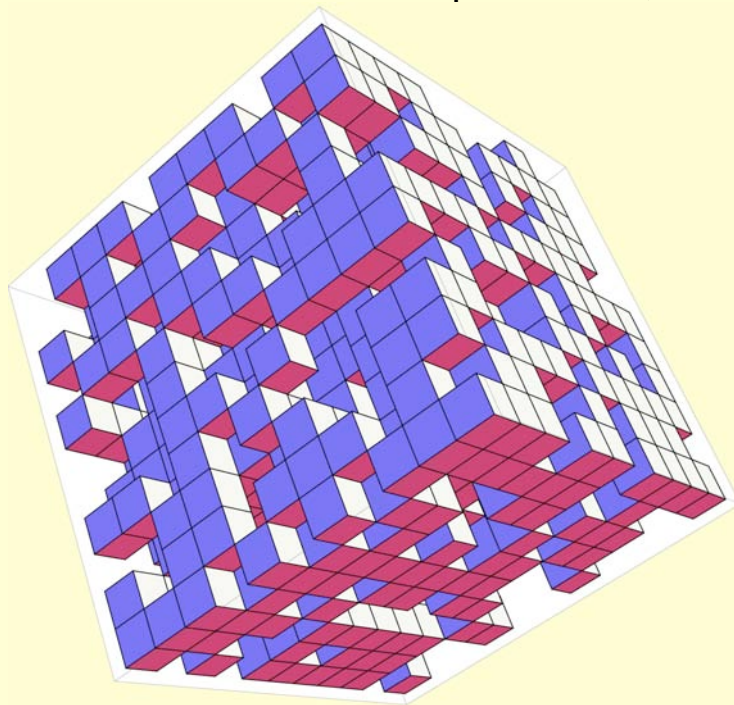
- ❑ Near critical point, $|T/T_c| \ll 1$, it takes longer and longer to re-establish equilibrium after changing the temperature

- ❑ Example: Ising Model,

$$M(t) \sim \exp(-t/t_r),$$

with

$$t_r = 4.5 (T - T_c)^{-1.85}, \text{ for } T > T_c.$$



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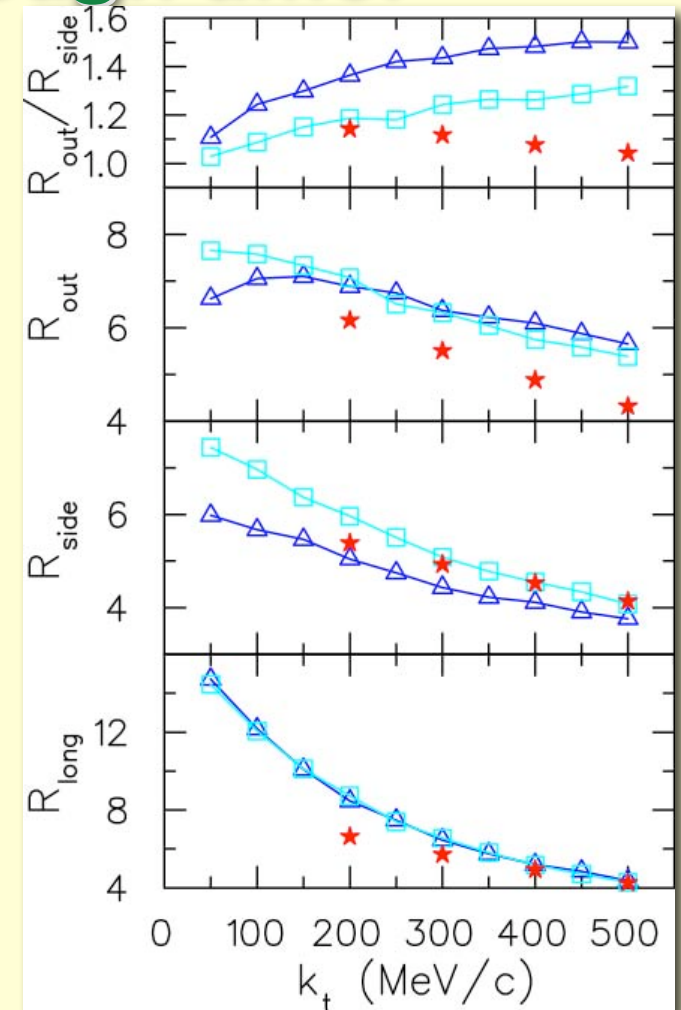
... but there is not enough time!

- ❑ HBT puzzle
- ❑ Theoretical expectation
 - ▶ *Change of # of degrees of freedom in transition from quarks and gluons to hadrons*
 - ▶ *Large time delay*
 - ▶ *Expect $R_{out} \gg R_{side}$*

- ❑ Not seen by experiment!
(wait for talk by Scott Pratt)

- ❑ Equilibrium thermodynamic phase transition may not be possible

▶ *... but percolation-type transition not excluded!*



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Conclusions

- ❑ Common threads in phase transitions of molecules, nuclei, and hadronic matter
- ❑ Non-equilibrium effects make extraction of EoS information hard
- ❑ View of multifragmentation as a **critical phenomenon** is on solid footing
- ❑ Critical slowing down not important, because it is a non-equilibrium phase transition
- ❑ Finite-size corrections can be dealt with rather effectively; opportunity for us to contribute to larger science community
- ❑ 2nd critical point has all of the above qualifiers as well, but there is a fighting chance for success
- ❑ CBM experiment and RHIC low energy scan needs to concentrate on measuring fluctuations in distributions of baryons, pions, (early) photons



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